



NATIONAL ASSOCIATION OF
STATE TREASURERS

2017 NAST Treasury Management Training Symposium



May 9-12, 2017 | Marriott City Center | Minneapolis, MN



THE VERUS ADVANTAGE

We launched Verus a decade ago to provide government agencies with auditing and recovery solutions based on advances in big data and artificial intelligence technologies. To date, we've recovered billions of dollars for our clients and their constituents.

And we're just getting started.



Welcome to the 2017 National Association of State Treasurers (NAST) Treasury Management Training Symposium.

I am honored to represent my colleagues as NAST President for 2017 and look forward to working with each of you as we work to build on the progress made under my predecessors. With your support and attendance at this conference, as well as September's Annual Conference in Boston, NAST is poised to have another outstanding year.

NAST is off to a great start in 2017 with an increased presence in national media, a successful legislative conference and continued enhancement of both our administrative operations and fiscal management. Our membership and its leadership team are working hard to continually improve this already great organization. Together we are striving to provide treasurers, treasury officials and staff, network and corporate affiliates rich opportunities to discuss and engage on pertinent issues impacting each of our states. Your leadership team understands that our organization's success relies on the active participation of both our public and private sector members and we are committed to ensuring this partnership earns a positive return on investment.

NAST's annual training symposium gets to the heart of what we do as treasury professionals and allows us a unique opportunity to learn from, and share with, each other. This year's symposium features more training tracks than ever with a variety of practical topics. Whether you are looking to learn more about best practices and innovations in unclaimed property, public/private partnerships, or financial literacy, you are sure to benefit from the outstanding program offerings.

I want to thank the entire NAST leadership team for their work to improve our organization and deliver member benefits. I especially want to recognize Senior Vice President and Program Committee Chair, Vermont Treasurer Beth Pearce along with our track leaders and network affiliates for developing an outstanding program for this year's symposium. Thanks to their efforts and the hard work of NAST staff, we can all look forward to a productive and exciting week here in Minneapolis.

Best wishes for a great week and I look forward to seeing all of you in September in the historic city of Boston for this year's Annual Conference.

Warmest regards,

A handwritten signature in blue ink that reads "Ken Miller". The signature is fluid and cursive.

Ken Miller
NAST President
Oklahoma State Treasurer

SPONSOR ACKNOWLEDGEMENTS

NAST wishes to acknowledge the generosity of the sponsors of the 2017 Treasury Management Training Symposium.

Treasury Management Conference Sponsor

Verus Financial

Minnesota Sponsor

Kelmar

Platinum Sponsor

Ascensus College Savings

Gold Sponsors

PFM Group

Wells Fargo

Silver Sponsors

Bank of America Merrill Lynch

BNY Mellon

Conduent

JP Morgan

State Street

State Street Global Advisors

Minneapolis Sponsor

Intuition College Savings Solutions

Nottingham

Bronze Sponsors

Audit Services

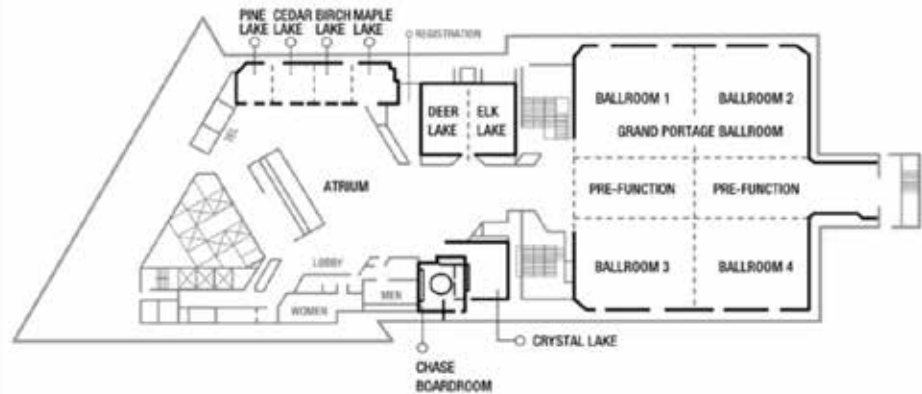
TD Bank

HOTEL INFORMATION

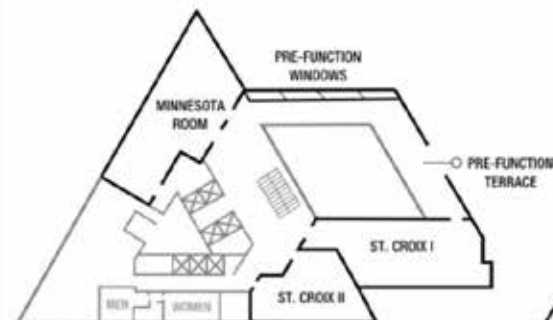
Marriott City Center

30 South 7th Street
Minneapolis, MN 55402
(612) 349-4000

FOURTH FLOOR



SIXTH FLOOR



EIGHTH FLOOR



2017 EXECUTIVE COMMITTEE



PRESIDENT
Ken Miller
State Treasurer
Oklahoma



SENIOR VICE PRESIDENT
Beth Pearce
State Treasurer
Vermont



SECRETARY-TREASURER
Mark Gordon
State Treasurer
Wyoming



IMMEDIATE PAST PRESIDENT
David H. Lillard, Jr.
State Treasurer
Tennessee



EASTERN REGION VICE PRESIDENT
Deborah Goldberg
State Treasurer
Massachusetts



WESTERN REGION VICE PRESIDENT
Pamela Leary
State Treasurer
Alaska



SOUTHERN REGION VICE PRESIDENT & NAUPA REPRESENTATIVE
Curtis M. Loftis, Jr.
State Treasurer
South Carolina



MIDWEST REGION VICE PRESIDENT
Don Stenberg
State Treasurer
Nebraska



LEGISLATIVE COMMITTEE CHAIR
David Damschen
State Treasurer
Utah



CSPN REPRESENTATIVE
Young Boozer III
State Treasurer
Alabama



SDMN REPRESENTATIVE
Jeffrey Barnette
Treasurer and Deputy CFO
District of Columbia



CORPORATE AFFILIATE REPRESENTATIVE
Jim Haddon
Senior Managing Director
Ramirez & Co.

ASSOCIATION STAFF

John Provenzano
Executive Director
john@statetreasurers.org

Chris Hunter
Deputy Executive Director / CSPN
chris@statetreasurers.org

Jeremy Dawson
Digital Media Manager
jeremy@statetreasurers.org

Jane Hardey
Communications
jane@marathonstrategies.com

Emma Heydlauff
Membership Services Manager
emma@statetreasurers.org

Matthew Hoekstra
NAST Government Affairs
mbhoekstra@wms-jen.com

Donna Maloy
Meeting Planning Services
dmaloy@nasact.org

David Milby
NAUPA Association Director
david@statetreasurers.org

Lori Slagle
Accounting Services
lslagle@nasact.org

Preston Weyland
SDMN Association Director
preston@statetreasurers.org

Kathleen Young
Meeting Planning Services
kyoung@nasact.org

WIFI ACCESS

Guests may access complimentary wifi using the network: Marriott_Conference and passcode: TMTS2017.

NAST CONFERENCES HAVE AN APP!

NAST has partnered with CrowdCompass to bring the most technologically advanced conference experience to our attendees through the NAST Conferences App!

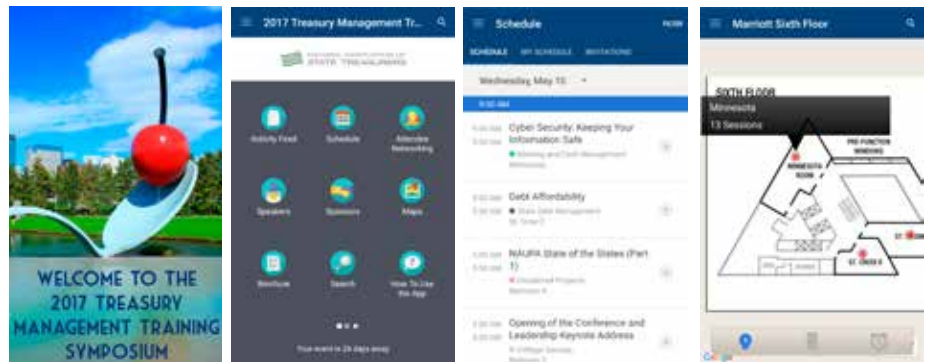
With just one app download, you'll have access to all of NAST's conference content including:

- **Agenda** - Never miss a moment again. Access the complete conference schedule, panel documents, keynote information, speaker bios, and more
- **Network** - Easily message, share and request contact information with conference facilitators, attendees, sponsors, speakers and more
- **Navigate** - Find your way around venues and cities with our interactive maps pointing out session rooms and events around the city
- **Personalization** - Create a custom profile to share with other attendees, document your own notes and select personal favorites for your own agenda
- **Feedback** - Rate sessions, participate in live polling and Q&A, and have your opinion heard

Downloading the App

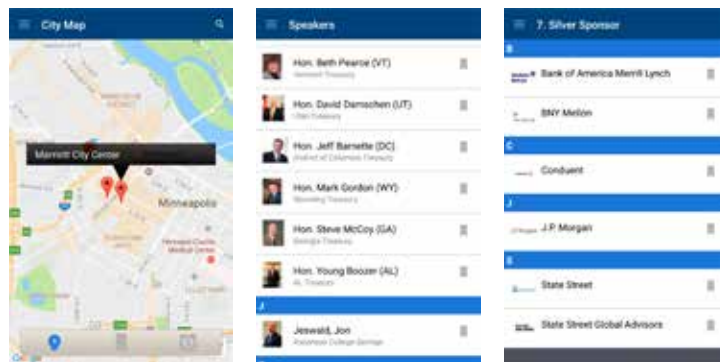
There are two ways to download the conference app:

1. If you have a QR Code reader on your phone, scan this:



Or, search for "NAST Conferences" in your app store. Once the app is downloaded, click the 2017 Treasury Management Training Symposium.

Check out nast.org for details about how to login to your account and personalize your profile, schedule and more!



TUESDAY, MAY 9

<p>9:00 A.M. - 7:00 P.M. REGISTRATION (Atrium)</p>	<p>2:00 - 3:00 P.M. CSPN FEDERAL INITIATIVES COMMITTEE (St. Croix II)</p>
<p>9:00 A.M. CSPN OUTREACH SUBCOMMITTEE (Minnesota)</p>	<p>3:00 - 4:00 P.M. CSPN LEGAL AND STATE AFFAIRS COMMITTEE (St. Croix I) CSPN COMMUNICATIONS COMMITTEE (Minnesota)</p>
<p>1:00 - 2:00 P.M. CORPORATE AFFILIATE BOARD (St. Croix I)</p>	<p>4:00 - 5:00 P.M. CSPN EXECUTIVE BOARD (St. Croix I) CSPN PREPAID TUITION & GUARANTEED SAVINGS PLAN (St. Croix II)</p>
	<p>4:00 - 5:30 P.M. NAST EXECUTIVE COMMITTEE (Minnesota)</p>
<p>1:00 - 2:50 P.M. CSPN & 529 PRIMER (Minnesota) CPE Field of Study: Finance</p> <p>This week, Minnesota is home to the largest wealth of 529 plan industry knowledge in the U.S.! This pre-conference crash course in all things 529 is no exception. Whether you're new to the industry or an old-pro, you're sure to gain some new-found knowledge in these always informative sessions:</p> <ul style="list-style-type: none"> • 1:00 – 1:50 pm Part 1 - In Part 1, we'll cover the basics of Section 529 of the Internal Revenue Code, an overview of gift and estate tax rules applicable to 529 accounts, and other tax benefits and important legal information about the 529 college savings world. • 2:00 – 2:50 pm Part 2 - In Part 2, CSPN board members will offer new and current members an overview of CSPN's Mission, Goals and committee structure, providing new members with a better understanding of how they can lend their talents to accomplish CSPN's Vision. <p>As we're fond of saying at CSPN – It takes a village to raise an industry!</p> <p>Moderators:</p> <ul style="list-style-type: none"> • Jamie Canup, Tax Practice Chairman, Hirschler Fleischer • Betty Lochner, Executive Director, Guaranteed Education Tuition (GET), Past CSPN Chair 	<p>5:00 - 6:00 P.M. CSPN CORPORATE AFFILIATE COMMITTEE (St. Croix I)</p>
	<p>6:00 - 8:00 P.M. OPENING RECEPTION (Atrium)</p>



CONFERENCE PROGRAM

WEDNESDAY, MAY 10

7:30 A.M. - 5:00 P.M.

REGISTRATION (Atrium)

7:30 - 8:45 A.M.

BREAKFAST (Ballroom 3 & 4 and Atrium)

9:00 - 9:50 A.M.

TRACK SESSIONS

<p>Banking, Cash Management & Investments (Minnesota)</p> <p>CPE Field of Study: Information Technology</p>	<p>Cyber Security: Keeping Your Information Safe: As recent events have identified, no institution is immune from cyber-threats. Fostering a cyber-aware culture and innovating safeguards to protect against threats is imperative in today's current environment. This panel will discuss what options and tech are out there to help states safeguard their data.</p> <p>Moderator: Sarah Kim, Deputy Treasurer and General Counsel, Massachusetts Treasury</p> <ul style="list-style-type: none"> Jesse Horowitz, Senior Vice President, Head of Enterprise Information Security, Wells Fargo Bank Marcia Peters, Senior Vice President, Information Security Governance, Risk, and Compliance, US Bank John Rastovski, Executive Director, Commercial Bank, JPMorgan Chase
<p>College Savings Plans (Ballroom 1)</p> <p>CPE Field of Study: Personnel/HR</p>	<p>Opening of the Conference: In these fiercely competitive and complex times, outstanding leadership is the critical ingredient for success. Great Leaders have to be skilled at not only recognizing and remedying dysfunction within a team, but also developing leadership candidates who will move the organization forward.</p> <ul style="list-style-type: none"> Hon. Young Boozer, Alabama State Treasurer and Chair of the College Savings Plans Network
<p>Financial Education & Empowerment (St. Croix I)</p> <p>CPE Field of Study: Finance</p>	<p>State of the States: Financial Education Programs Part 1: States will share their experiences developing and running financial education programs including what works, what doesn't work, marketing strategies, partnerships and tools to incorporate these ideas in your state!</p> <p>Moderator: Treasurer Seth Magaziner (RI)</p> <ul style="list-style-type: none"> Treasurer Deborah Goldberg (MA) and Alayna Van Tassel, Deputy Treasurer, Executive Director of the Office of Economic Empowerment, Office of the Massachusetts Treasurer Treasurer John Perdue (WV)
<p>State Debt Management (St. Croix II)</p> <p>CPE Field of Study: Economics</p>	<p>Debt Affordability: This panel will explore the many aspects of debt affordability. The focus will be on new and exciting ways to study and predict debt affordability along with a discussion of existing sound debt affordability practices.</p> <p>Moderator: Jennifer Hassemer, Assistant Commissioner for Debt Management, State of Minnesota</p> <ul style="list-style-type: none"> Bernadette T. Benik, Chief Deputy Treasurer, State of Maryland Kelly Rogers, Deputy Treasurer for Policy & Public Finance, Rhode Island State Treasurer's Office John Sugden, Senior Director, S&P Global



Advice for people transforming their world

Financial Advisory | Asset Management | Consulting

Financial Modeling | Alternative Finance/P3 | Specialized Services

PFM is the marketing name for a group of affiliated companies providing a range of services. All services are provided through separate agreements with each company. This material is for general information purposes only and is not intended to provide specific advice or a specific recommendation. Investment advisory services are provided by PFM Asset Management LLC which is registered with the Securities and Exchange Commission (SEC) under the Investment Advisers Act of 1940. The information contained is not an offer to purchase or sell any securities. Financial advisory services are provided by PFM Financial Advisors LLC and Public Financial Management, Inc. Both are registered municipal advisors with the SEC and the Municipal Securities Rulemaking Board (MSRB) under the Dodd-Frank Act of 2010. Applicable regulatory information is available upon request. For more information regarding PFM's services or entities, please visit www.pfm.com.

<p>Unclaimed Property Programs (Ballroom 2)</p> <p>CPE Field of Study: Specialized Knowledge</p>	<p>NAUPA State of the States (Part 1): State unclaimed property officials in attendance will introduce themselves & attending staff to discuss program highlights and hot topics in their respective states. Topics for further discussion later in the conference will be suggested by attendees. (Each state/ province/territory/nation will be asked to respond to an online survey about their unclaimed property program highlights.)</p> <p>Moderator: Kathleen Lobell, Director of Unclaimed Property, Louisiana Treasury</p> <p>Panelists: State Administrators</p>
<p>9:50 - 10:05 A.M. BREAK (Atrium & 6th Floor Prefunction)</p>	
<p>10:05 - 10:55 A.M. TRACK SESSIONS</p>	
<p>Banking, Cash Management & Investments (Minnesota)</p> <p>CPE Field of Study: Finance</p>	<p>Innovation in Government Payments: As the financial industry responds to consumer and business demands for faster and more secure payment options with new initiatives like Real-Time Payments and tokenized payments, how can state treasurers determine the best options to meet their specific payment needs? As state treasurers look to redesign their payment platforms, actions such as streamlining their receivables and being able to use messaging such as request for payments while maintaining safety and security measures will be imperative. Join us for this session to better understand what the new options offer and find the right payment solution for your operation.</p> <p>Moderator: James MacDonald, First Deputy Treasurer, Massachusetts Treasury</p> <ul style="list-style-type: none"> • David Belchick, Public Sector Market Manager, Citi – Treasury and Trade • Jon Jeswald, Vice President and the Federal Reserve Bank of San Francisco • Ryan McHugh, Treasury Services Product, BNY Mellon Treasury Services
<p>College Savings Plans (Ballroom 1)</p> <p>CPE Field of Study: Finance</p>	<p>State of the States (Part 1): Get involved with this annual interactive session where states share marketing strategies, initiatives and ideas from their 529 program! Hear lots of great ideas and innovations!</p> <p>Moderators:</p> <ul style="list-style-type: none"> • Rachel Biar, Assistant State Treasurer, Nebraska • Lisa Churchville, Chief Operating Officer, Rhode Island Office of the General Treasurer
<p>Financial Education & Empowerment (St. Croix I)</p> <p>CPE Field of Study: Specialized Knowledge</p>	<p>Innovative Public/Private Partnerships in K-12 Financial Literacy: According to research from the University of Wisconsin, fewer than 20% of teachers reported feeling “very competent” to teach key personal finance topics. To reduce this confidence and competence gap, Fidelity Investments is working with teachers across the country and the Jump\$tart Teacher Training Alliance to offer in-person trainings and is developing a web portal online to bring the curriculum online to serve even more teachers. Learn how this program and others can prepare teachers to better teach financial literacy, link them to impactful classroom resources and provide an opportunity for State Treasurers to partner and lend their support to piloting and rolling out this innovative approach to K-12 financial literacy.</p> <p>Moderator: Treasurer Kelly Mitchell (IN)</p> <ul style="list-style-type: none"> • Christopher Caltabiano, Chief Program Officer, Council for Economic Education • Rick Metters, Vice President, Community Relations, Fidelity • Bonnie Wallace, Vice President, Hands on Banking Channel Manager, Wells Fargo
<p>State Debt Management (St. Croix II)</p> <p>CPE Field of Study: Management Services</p>	<p>Public Private Partnerships: This session will focus on the decision factors that determine if a P3 is the right delivery method for a project and how to mitigate risks to the governmental sponsor. Part of the panel will look at what happens when seemingly good projects go bad. This will be done through panel discussion and case studies.</p> <p>Moderator: Janet Aylor, Director of Debt Management, Virginia Treasury</p> <ul style="list-style-type: none"> • Tim Carden, Managing Director, Public Financial Management • John Lawson, Chief Financial Officer, Virginia Department of Transportation • Mark Pascarella, Director of Debt Management, Indiana Finance Authority
<p>Unclaimed Property Programs (Ballroom 2)</p> <p>CPE Field of Study: Specialized Knowledge</p>	<p>NAUPA State of the States (Part 2): State unclaimed property officials in attendance will introduce themselves & attending staff to discuss program highlights and hot topics in their respective states. Topics for further discussion later in the conference will be suggested by attendees. (Each state/province will be asked to respond to an online survey about their unclaimed property program highlights.)</p> <p>Moderator: Roxanna Hollenstine, Director of Unclaimed Property, Illinois Treasury</p> <p>Panelists: State Administrators</p>
<p>10:55 - 11:10 A.M. BREAK (Atrium & 6th Floor Prefunction)</p>	

CONFERENCE PROGRAM

11:10 A.M. - 12:00 P.M.

TRACK SESSIONS

**Banking, Cash Management
& Investments**
(Minnesota)

CPE Field of Study:
Management Services

Making Cash Great Again: LGIP, Short Term Investment Opportunities, and Treasury Considerations: This panel will take a high level look at various options to diversify your portfolio to help ensure growth and safe returns in an era of changing regulations.

Moderator: Treasurer David Damschen (UT)

- Debbie Cunningham, CEO of Global Money Markets, Federated Investors
- Laura Glenn, Deputy Treasurer, Georgia Treasury
- Jeff Sirota, Executive Director, Market Strategist, JPMorgan Chase



KELMAR

*Your Partner in
Unclaimed Property Resources*

The Next Step

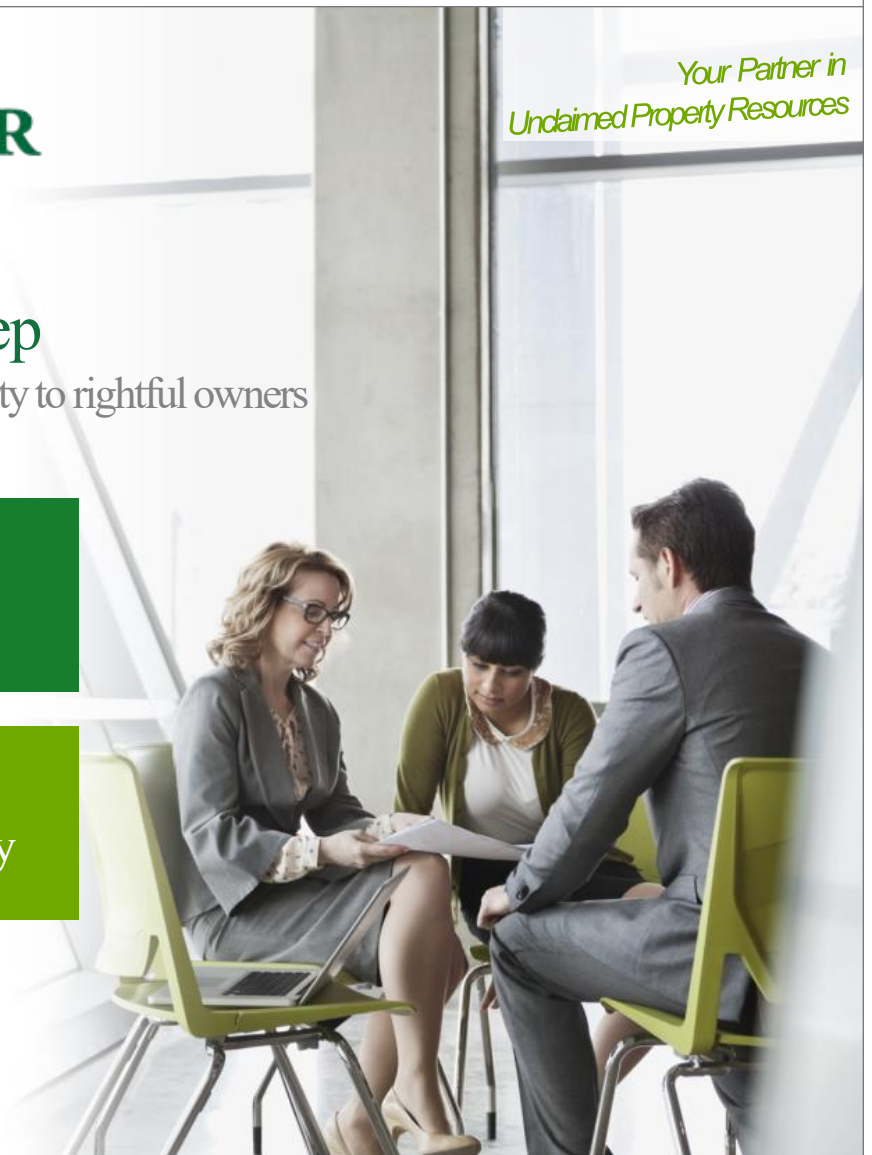
In returning more unclaimed property to rightful owners

Safeguard
Data

Reduce
Risk

Leverage
Technology

Increase
Efficiency



Learn more at kelmarassoc.com

K A P S

<p>College Savings Plans (Ballroom 1)</p> <p>CPE Field of Study: Finance</p>	<p>The Rise of the Robo Advisor – Empowering The Retail Investor: The financial services industry is in the midst of a transformation in the way that advisory services are delivered to investors. These changes are being driven by new regulations, evolving consumer behaviors and changing investor demographics. This session will explore the role of digital advice in an evolving financial marketplace and provide attendees with information about the ongoing evolution of the technology that supports it. At a fundamental level, the panel will discuss:</p> <ul style="list-style-type: none"> the role of automation in the design of investment options (answering the question: what is a robo-advisor, what are the inputs and what does it provide), the delivery of services through automation (answering the question: why do we have robo-advisors, who do they serve and what can we learn from analogous retail tools) the role of automated advice in traditional retail savings programs (answering the question: where and how do we see robo-advice in the future in 529s, ABLE and retirement plans, etc.) and suitability and other regulatory considerations for this automated advice <p>Moderator: Andrea Feirstein, Managing Director, AKF Consulting</p> <ul style="list-style-type: none"> Jim Balsan, BNY Mellon – Sundry Project Ashley Fieglein Johnson, Chief Operating Officer / Chief Financial Officer, Wealthfront
<p>Financial Education & Empowerment (St. Croix I)</p> <p>CPE Field of Study: Finance</p>	<p>What do the new Administration and Congress Mean for Financial Education and Empowerment? This conversation with Williams and Jensen and a representative from the U.S. Treasury will go over insights for what to expect from Washington on a range of topics, from the recent update of the Elementary and Secondary Education Act, to the outlook for the Consumer Financial Protection Financial Bureau and Obama-era consumer finance regulations.</p> <p>Moderator: Treasurer Seth Magaziner (RI)</p> <ul style="list-style-type: none"> Matthew Hoekstra, Principal, Williams and Jensen Louisa Quittman, Director of Financial Security, U.S. Treasury
<p>State Debt Management (St. Croix II)</p> <p>CPE Field of Study: Economics</p>	<p>State Revolving Funds: This session will examine how states can use revolving funds to support local government infrastructure financing. The session will explore differences across state SRF programs and consider the appropriate role of leverage. We will also explore the current outlook for future federal funding.</p> <p>Moderator: Ellen Evans, Deputy Treasurer, Debt Management, State of Washington</p> <ul style="list-style-type: none"> Anne Burger Entrekin, Regional Managing Director, FirstSouthwest Jeff Freeman, Executive Director, Minnesota Public Facilities Authority Tom Liu, Managing Director, Bank of America Merrill Lynch
<p>Unclaimed Property Programs (Ballroom 2)</p> <p>CPE Field of Study: Specialized Knowledge</p>	<p>Recent Legal Decisions Involving Unclaimed Property: Unclaimed property law experts will discuss and analyze recent important judicial decisions.</p> <p>Moderator: G. Allen Mayer, Esq., Deputy General Counsel, Illinois Treasury</p> <ul style="list-style-type: none"> Michael K. Green, Esq., Assistant Attorney General, Utah Office of the Attorney General

Wells Fargo is proud to support the 2017 National Association of State Treasurers’ Treasury Management Training Symposium



For more information on how Wells Fargo partners with state governments, please contact:

Mara Holley • 678-589-4332 • mara.holley@wellsfargo.com

Patrice DeCorrevont • 312-762-9037 • patrice.decorrevont@wellsfargo.com

Together we'll go far



CONFERENCE PROGRAM

12:00 - 1:15 P.M.

LUNCH (Ballroom 3 & 4 and Atrium)

1:15 - 2:30 P.M.
TRACK SESSIONS

**Banking, Cash Management
& Investments**
(Minnesota)

CPE Field of Study:
Management Services

Making Cash Great Again: Liquidity Management, Commercial Paper Use, and other options to Diversify Your Portfolios: Continuing off the previous discussion, this panel will take a closer look at key trends impacting the goals for investment management: safety of principal, maintaining liquidity, appropriate return. Potential market trends stemming from rising rates, Basel III, even a complete US tax reform will place a premium on Treasury's ability to deliver these goals. This discussion will introduce key issues every practitioner needs to consider in order to optimize investment strategies and manage liquidity.

Moderator: Treasurer Beth Pearce (VT)

- Greg Fayvilevich, Senior Director, Fitch Ratings
- Henley Smith, Senior Vice President, Vanderbilt Asset Management
- Greg Warner, Director, Senior Portfolio Manager, Hilltop Securities

Joint Session

College Savings Plans

**Financial Education &
Empowerment**

(Ballroom 1)

CPE Field of Study:
Finance

Financial Education, College Savings Accounts and the Wage Gap: Saving for college can have lifelong financial consequences. This panel will discuss how college savings accounts can be used to teach students about savings, and also serve as a tool to teach residents of all ages about key financial decisions throughout their life, including educating women about the wage gap. Panelists will cover how they are currently utilizing college savings accounts as a means for financial literacy; how to engage with key partners; and how to connect these accounts to other financial topics including the gender wage gap.

Moderator: Treasurer Deborah Goldberg (MA)

- Suzanne Fetky, Vice President, Marketing, Ascensus
- Danielle Gladstone, Participant Engagement, Defined Contribution, State Street Global Advisors
- Julie Shields-Rutyna, Director of College Planning, Massachusetts Educational Financing Authority



Every Future Needs a Plan

We work hard every day to help **over 7 million** Americans save for retirement, college, healthcare, and disability-related expenses.

Ascensus® and the Ascensus logo are registered trademarks of Ascensus, Inc.
Copyright ©2017 Ascensus, Inc. All Rights Reserved. (03/17)

ascensus.com


Always have a plan

<p>State Debt Management (St. Croix II)</p> <p>CPE Field of Study: Economics</p>	<p>Managing Investment Bank Relationships: As an issuer, how you approach your deal, select your investment bank, and how you use them can mean the difference between a stellar transaction and...well, a not-so-stellar transaction. This panel will consist of issuers that will discuss best practices around selection of your underwriter and how to utilize them as a resource, a member of the banking community that will discuss the changing relationship between issuers and underwriters, and a financial advisor that will provide more detail on the things you should be considering before and during a transaction.</p> <p>Moderator: James MacDonald, First Deputy Treasurer, Commonwealth of Massachusetts</p> <ul style="list-style-type: none"> • Nicolette Bateson, Chief Financial Officer, Great Lakes Water Authority • Lisa Daniel, Managing Director, Public Financial Management • Thomas Green, Managing Director, Citigroup • Drew Smith, Deputy Assistant Treasurer for Debt Management, Commonwealth of Massachusetts
<p>Unclaimed Property Programs (Ballroom 2)</p> <p>CPE Field of Study: Specialized Knowledge</p>	<p>Fraud, do you know when it exists? Internal, external, large or small can you recognize it? Panel discussion ensure that departments are paying the right person, through external data authentication, and determining a confirmable relationship between the claimant and the reported property. We'll discuss methods of using vendor and state-sourced data, verification of holder reported data, and ways of confirming the data integrity of the reporting and claims process. Methods of identifying and tracking potential fraud will be reviewed.</p> <p>Moderator: Lynden Lyman, The Unclaimed Property Advisor and NAUPA Special Consultant</p> <ul style="list-style-type: none"> • Amy Crawford, Senior Fraud Data Analyst, Special Investigative Unit, LexisNexis Risk Solutions • Walter Graham, Director, Division of Unclaimed Property, Florida Department of Financial Services • Matthew LaFon, Esq., Assistant Attorney General Assigned to the State Treasurer, Oklahoma Office of the Attorney General • Daniel P. Murphy, CFE, CFCl, Senior Vice President and Chief Security Officer, U.S. Bancorp

2:30 - 2:45 P.M.

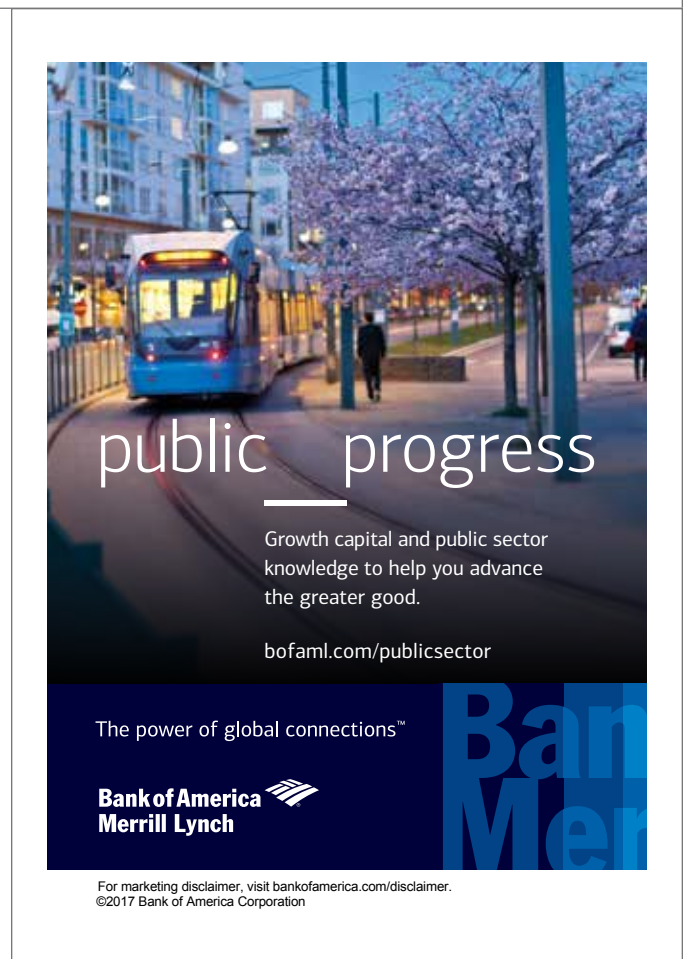
BREAK (Atrium & 6th Floor Prefunction)



J.P. Morgan is proud to sponsor the 2017 NAST Treasury Management Training Symposium

J.P.Morgan

© 2017 JPMorgan Chase Bank, N.A. Member FDIC. "Chase" is a marketing name for certain businesses of JPMorgan Chase & Co. and its subsidiaries (collectively, "JPMC"). 326906



public progress

Growth capital and public sector knowledge to help you advance the greater good.

bofam.com/publicsector

The power of global connections™

Bank of America
Merrill Lynch

For marketing disclaimer, visit bankofamerica.com/disclaimer. ©2017 Bank of America Corporation

CONFERENCE PROGRAM

2:45 - 3:35 P.M. TRACK SESSIONS	
Banking, Cash Management & Investments (Minnesota) CPE Field of Study: Economics	Evolving Banking Regulations: This session will help give a broad overview of the regulatory changes that will affect Treasury operations in 2017 and beyond. Our panel of experts will answer your questions and discuss the trends in banking regulations and how treasury operations can engage with federal policymakers and organizations. Moderator: Treasurer Mark Gordon (WY) <ul style="list-style-type: none"> Treasurer David Damschen (UT) Matthew Hoekstra, Principal, Williams & Jensen John Stern, Executive Vice President, Corporate Treasurer, US Bank
College Savings Plans (Ballroom 1) CPE Field of Study: Management Services	“Let’s Go Crazy” with 529 Due Diligence, Fiduciary Process and Site Visits “Dearly beloved... We are gathered here today... To get through this thing called”... Due Diligence, Fiduciary Process and Site Visits: This panel will discuss the basic fiduciary duties of boards, address the latest securities litigation surrounding fiduciaries of retirement plans (with an eye toward how these lawsuits might play out in the 529 world), and provide information on fiduciary audits as consideration for ever larger 529 plans in the future. Moderator: David Lawhorn, Kentucky 529 Manager, Kentucky Higher Education Assistance Authority <ul style="list-style-type: none"> Jim DiUlio, Director, Wisconsin 529 College Savings Program, CSPN Vice Chair
Financial Education & Empowerment (St. Croix I) CPE Field of Study: Specialized Knowledge	Measuring the Effectiveness of Financial Literacy Programs: This panel will discuss the process of establishing a measurement program, the lessons learned from a study of the My Classroom Economy program (a free financial literacy program available to all schools and teachers nationwide), and how to promote the benefits of financial literacy programs to school districts. Moderator: Treasurer Joe Torsella (PA) <ul style="list-style-type: none"> Colton Fisher, Senior Manager and Marketing Strategist, Vanguard Collin O’Rourke, Senior Research Specialist at the Center for Financial Security at the University of Wisconsin-Madison
State Debt Management (St. Croix II) CPE Field of Study: Finance	Water Infrastructure Financing Innovation Act (WIFIA): What is WIFIA, is it a replica of TIFIA? This discussion will aim to introduce the program and focus on the benefits along with a path to execution. The primary focus will be on WIFIA as it relates to State’s Revolving Funds. Moderator: Mark Pascarella, Director, Indiana Finance Authority <ul style="list-style-type: none"> Jessica Cameron, Managing Director, Public Financial Management Jordan Dorfman, Water Infrastructure Financing and Innovation Act, Environment Protection Agency State Revolving Fund Branch
Unclaimed Property Programs (Ballroom 2) CPE Field of Study: Specialized Knowledge	Holder reports via online portal: Tennessee pilots new website functions along with other states. Lessons learned and costs vs. benefits. Panel to discuss automation benefits and challenges. What are the unintended consequences? How to we encourage holders to embrace the changes. How to foster positive changes within your sphere of influence. Policy issues that need to be considered. How to ensure data integrity remains solid. What workflow decisions are critical to success? Moderator: John Gabriel, Director of Unclaimed Property, Tennessee Treasury <ul style="list-style-type: none"> Lee DeJabet, Unclaimed Property Administrator, South Dakota Treasury Randy Stroede, Vice President, State Systems, Conduent Kenneth Wagers, Vice President, Client Information Services, Kelmar Associates, LLC
3:35 - 3:50 P.M. BREAK (Atrium & 6th Floor Prefunction)	
3:50 - 4:40 P.M. TRACK SESSIONS	
Banking, Cash Management & Investments (Minnesota) CPE Field of Study: Management Services	Protecting your Deposits– Views from Banks, the FDIC, and States: An expert representative from the banking sector, the FDIC, and a state explore options for how to protect public deposits. Potential topics include FDIC insurance, FHLB letters of credit, methods for collateralizing accounts, and establishing plans for liquidating pledged securities. Moderator: Treasurer Steve McCoy (GA) <ul style="list-style-type: none"> Mike McCarthy, Managing Director Product Manager, Collateralized Deposits, BNY Mellon Patrick M. Mitchell, Deputy Director, Risk Analysis and Pricing, Federal Deposit Insurance Corporation

<p>College Savings Plans (Ballroom 1)</p> <p>CPE Field of Study: Finance</p>	<p>“New Power Generation” (Prince 1990): A Look Ahead: 529 Plans are out of their infancy and teen years; so what does young adulthood look like for 529s? This session will take a look at the future of 529 Plans and CSPN, both near term and long term. Topics include: (i) legal and regulatory issues on the horizon for 2017; (ii) improvements to the CSPN Disclosure Principles for 2018; (iii) a review of the new CSPN Strategic Plan and how CSPN plans to solidify its position going forward beyond 2018; (iv) responding to headwinds we are facing now and will face in the years ahead; (v) a discussion on how can we participate in national initiatives to raise awareness of 529 plans and whether we should be leading those initiatives; and (vi) where does our industry need to be by 2021 to stay relevant and thrive?</p> <p>Moderator: Jamie Canup, Tax Practice Chairman, Hirschler Fleischer</p> <ul style="list-style-type: none"> Betty Lochner, GET Director & Past Chair, CSPN Kathleen McGrath, former Director of the Pennsylvania 529 College Savings Program Troy Montigny, Executive Director, Indiana Education Savings Authority
<p>Financial Education & Empowerment (St. Croix I)</p> <p>CPE Field of Study: Finance</p>	<p>Millennials and the “American Dream:” Is it still within reach?: As the largest generation in American history, Millennials make up a large percentage of America’s workforce and have a huge impact on the U.S. economy. With the decade long surge in student loan balances, this session will examine the rising financial anxieties Millennials uniquely experience due to the ballooning costs of post-secondary education and the strain these anxieties place on their lives post-graduation. Additionally, we will examine the various ways this issue is being addressed by public and private organizations.</p> <p>Moderator: Charon Rose, Director of Constituent Relations, Rhode Island State Treasurer’s Office</p> <ul style="list-style-type: none"> Kelly Lannan, Director, Women & Young Investors, Fidelity Emelia Nordan, College Savings Plans and Policy Director, Office of the Mississippi State Treasurer Bonnie Wallace, Vice President, Hands on Banking Channel Manager, Wells Fargo Daniel Zapp, Director of Research, Higher Education, Everfi
<p>State Debt Management (St. Croix II)</p> <p>CPE Field of Study: Economics</p>	<p>A Panel Discussion on Variable Rate Debt: Our panelists will discuss the outlook for the short term debt market, the advantages and disadvantages associated with different forms of variable rate debt, and the factors issuers should consider before any transaction—including liquidity support options, remarketing agents, and interest rate modes.</p> <p>Moderator: Jonathan Azoff, Director of the Office of Debt Management & Senior Counsel, Ohio Treasurer Josh Mandel</p> <ul style="list-style-type: none"> Elizabeth Coolidge, Managing Director, PNC Capital Markets LLC Robert Novembre, CEO and President, Clarity BidRate Alternative Trading System Kyle Stewart, Vice President and Senior Portfolio Manager, Federated
<p>Unclaimed Property Programs (Ballroom 2)</p> <p>CPE Field of Study: Specialized Knowledge</p>	<p>Best practices and innovations – Some examples may be: “high tech” solutions; “high touch” outreach examples; lessons learned from testing new ideas; outreach campaigns; epic failures “don’t do this at home” stories; holder tools; claimant expectations management, etc.</p> <p>Moderator: Joshua Joyce, Esq., Administrator, Unclaimed Property, Arizona Department of Revenue</p> <ul style="list-style-type: none"> Vicki D. Bridgeman, CPA, CGFO, Unclaimed Property Director, Virginia Treasury Kelly Kuracina, Assistant Bureau Director, Office of Unclaimed Funds, New York Office of the State Comptroller
<p>6:00 - 8:00 P.M. RECEPTION AT SEVEN</p> <p>Plan to join us for a reception and heavy hors d’oeuvres at Seven Steakhouse and Sushi Ultra lounge. It is located directly across the street from the Marriott City Center. Turn right when leaving the Marriott. Cross the first street (Hennepin). Seven is on the left.</p> 	
<p>THURSDAY, MAY 11</p>	
<p>7:30 A.M. - 5:00 P.M. REGISTRATION (Atrium)</p>	
<p>7:45 - 8:45 A.M. BREAKFAST (Ballroom 3 & 4 and Atrium)</p>	
<p>9:00 - 9:50 A.M. TRACK SESSIONS</p>	
<p>Banking, Cash Management & Investments (Minnesota)</p> <p>CPE Field of Study: Finance</p>	<p>The Investment Management Process: Internal, External, or Both: Two outside advisors and a seasoned internal portfolio manager will shed light on the value of internal investment management vs. the benefits offered by outside managers. The panelists will compare and contrast their approaches and explain when states may find it advantageous to manage the investment process or outsource investment portfolios and LGIP offerings in order to optimize returns and manage risks.</p> <p>Moderator: Treasurer Steve McCoy (GA)</p> <ul style="list-style-type: none"> Rob Corner, Senior Client Portfolio Manager, Invesco Doug Extine, Deputy Treasurer, Washington Treasury Kerry Pope, Institutional Portfolio Manager, Fidelity Investments

CONFERENCE PROGRAM

<p>College Savings Plans (Ballroom 1)</p> <p>CPE Field of Study: Finance</p>	<p>State of the States (Part 2): Get involved with this annual interactive session where states share marketing strategies, initiatives and ideas from their 529 program! Hear lots of great ideas and innovations!</p> <p>Moderators:</p> <ul style="list-style-type: none"> • Rachel Biar, Assistant State Treasurer, Nebraska • Lisa Churchville, Chief Operating Officer, Rhode Island Office of the General Treasurer
<p>Financial Education & Empowerment (St. Croix I)</p> <p>CPE Field of Study: Finance</p>	<p>State of the States: Financial Education Programs Part 2: States will share their experiences developing and running financial education programs including what works, what doesn't work, marketing strategies, partnerships and tools to incorporate these ideas in your state!</p> <p>Moderator: Treasurer Kelly Mitchell (IN)</p> <ul style="list-style-type: none"> • Jana Langemach, Assistant State Treasurer, Nebraska State Treasurer's Office • Ashley Nabors, Senior Director, Financial Empowerment, Tennessee Treasury Department • Sheila Salehian, Deputy Treasurer for Prepaid Tuition, 529 College Savings Plans & Financial Literacy, Office of Nevada State Treasurer
<p>State Debt Management (St. Croix II)</p> <p>CPE Field of Study: Finance</p>	<p>Investor Relations: Thanks to all the attorneys out there, issuers know exactly what we are required to communicate to the market. The real question, however, just might be how and what we should communicate with the market. This panel will include issuers for a discussion around their unique approaches to investor relations during and away from sales and an institutional investor to provide insight on what they look for and how it just might mean the difference between their placing an order or sitting the deal out.</p> <p>Moderator: James MacDonald, First Deputy Treasurer, Commonwealth of Massachusetts</p> <ul style="list-style-type: none"> • Ellen Evans, Deputy Treasurer, Debt Management, State of Washington • Drew Smith, Deputy Assistant Treasurer for Debt Management, Commonwealth of Massachusetts • Sarah Thompson, Municipal Research, Fidelity



Invested in success.

At BNY Mellon, we understand the importance of helping our State Treasurers achieve their financial and public policy goals.

It is our great pleasure to support the National Association of State Treasurers.



bnymellon.com

©2017 The Bank of New York Mellon Corporation.




Advancing treasury management every day.

conduent.com/government




©2017 Conduent Business Services, LLC. All Rights Reserved. Conduent™ is a trademark of Conduent Business Services, LLC in the United States and/or other countries.

<p>Unclaimed Property Programs (Ballroom 2)</p> <p>CPE Field of Study: Specialized Knowledge</p>	<p>Outreach “H”: Holder education initiatives, compliance measurements, etc.</p> <p>Moderator: Josh Wood, Compliance Officer, Office of Arkansas State Auditor</p> <ul style="list-style-type: none"> Jennifer L. Brown, Manager, Holder Education and Research Unit, New York Office of the State Comptroller
<p>9:50 - 10:05 A.M. BREAK (Atrium & 6th Floor Prefunction)</p>	
<p>10:05 - 10:55 A.M. TRACK SESSIONS</p>	
<p>Banking, Cash Management & Investments (Minnesota)</p> <p>CPE Field of Study: Economics</p>	<p>A View From Washington: A panel of experts will discuss upcoming legislative changes that could affect how your programs are operated.</p> <p>Moderator: Shawn Collins, Assistant Treasurer for Policy & Legislative Affairs, Massachusetts Treasury</p> <ul style="list-style-type: none"> Natalie Cohen, Head of Municipal Research, Wells Fargo Jason Rosenberg, Managing Director, Co-Head of Federal Government Relations, JPMorgan Chase
<p><i>Joint Session</i></p> <p>College Savings Plans</p> <p>Financial Education & Empowerment (Ballroom 1)</p> <p>CPE Field of Study: Finance</p>	<p>Understanding how 529 plans fit into a comprehensive strategy to finance higher education: This panel of financial aid and college planning experts will examine how families can best utilize 529 plans as a key component of the strategy to finance higher education. Topics to include: how families should think about financing higher education; the optimal use of 529 plan distributions during the college years; and case studies of different types of families to better understand how financing college can vary by family.</p> <ul style="list-style-type: none"> John Hupalo, CEO, Invite Education David Lawhorn, Kentucky 529 Manager, Kentucky Higher Education Assistance Authority Julie Shields-Rutyna, Director of College Planning, Massachusetts Educational Financing Authority



High tech. High touch. Holistic infrastructure.



Unitized Accounting and Participant Recordkeeping for Government Investment Portfolios

Nottinghamco.com

INDUSTRY LEADERS IN 529 PLAN ADMINISTRATION

Delivering flexible, customized solutions for our clients and their customers for nearly three decades.
Learn more at intuitioncss.com.




CONFERENCE PROGRAM

<p>State Debt Management (St. Croix II)</p> <p>CPE Field of Study: Finance</p>	<p>Taxable Bonds: This session will explore the need for taxable bonds, the market for them, and their spread impact.</p> <p>Moderator: Treasurer Jeff Barnette (DC)</p> <ul style="list-style-type: none"> Patrick Brett, Managing Director, Citi Paul J. Jungquist, Senior VP/Portfolio Manager, SIT Investments Noreen White, Co-President, Acacia Financial Group
<p>Unclaimed Property Programs (Ballroom 2)</p> <p>CPE Field of Study: Specialized Knowledge</p>	<p>Outreach “C”: Claimant testimonials, promotions, advertising, earned media, ROI, successes and failures, etc.</p> <p>Moderator: Hon. Andrea Lea, Arkansas State Auditor</p> <ul style="list-style-type: none"> Ingrid Bolen, Program Specialist, Idaho Treasury Cozette Haley, Unclaimed Property Administrator, Idaho Treasury Dennis Johnston, Unclaimed Property Administrator, Utah Treasury
<p>10:55 - 11:10 A.M. BREAK (Atrium & 6th Floor Prefunction)</p>	
<p>11:10 A.M. - 12:00 P.M. TRACK SESSIONS</p>	
<p>Banking, Cash Management & Investments (Minnesota)</p> <p>CPE Field of Study: Information Technology</p>	<p>The Evolution of Collateral Management: New technology is changing how states manage their collateral. We'll talk to states who have updated their management software and they will discuss the rewards and challenges to changing management software.</p> <p>Moderator: Treasurer Young Boozer (AL)</p> <ul style="list-style-type: none"> Craig H. Leyton, Director, Wells Fargo Securities Seth Metcalf, Deputy Treasurer & Executive Counsel, Ohio Treasury Daria Story, Assistant Treasurer, Alabama Treasury
<p>College Savings Plans (Ballroom 1)</p> <p>CPE Field of Study: Communications & Marketing</p>	<p>When Doves Cry – Using PR to Change the Message: This panel will explore how 529 programs can manage their message when they are faced with negative information in the news. The panel will also examine ways for your 529 program to perform damage control in a crisis, react to breaking news and get ahead of unwanted press. It is your plan, you can control the message.</p> <p>Moderator: Karen Austin, Deputy Treasurer, Iowa Treasurer's Office</p> <ul style="list-style-type: none"> Abby Berman Cohen, Vice President, The Rosen Group Emelia Nordan, College Savings Plans and Policy Director, Office of the Mississippi State Treasurer
<p>Financial Education & Empowerment (St. Croix I)</p> <p>CPE Field of Study: Finance</p>	<p>The New Retirementality: Financial Coaching Through Pension Plans: The concept of retirement has changed dramatically over the last 20 years, fueled by the increase in expected longevity. Public employees are no exception. Workers are doing a wide variety of amazing things later in life, but need more help than ever visualizing and planning for this phase of their lives. So why are we still talking to DB and DC participants as if nothing has changed? This session will provide insights and research to help the audience think about their own retirements, equipping them to make better decisions as plan sponsors.</p> <p>Moderator: Treasurer Beth Pearce (VT)</p> <ul style="list-style-type: none"> Lisa Kueng, Director, Creative Campaigns, Invesco
<p>State Debt Management (St. Croix II)</p> <p>CPE Field of Study: Finance</p>	<p>Green Bonds: This session will explore successful uses of green bonds and the positive impact they could have on your state. There is a lack of policy consensus among political and business leaders about climate change that inhibits the development of an effective market to finance climate friendly capital assets. Join this session to explore what a vibrant green bond market could look like.</p> <p>Moderator: Tim Schaefer, Deputy Director for Public Finance, California State Treasurer's Office</p> <ul style="list-style-type: none"> Marilyn Ceci, Managing Director and Head of Green Bonds, JP Morgan Kurt Forsgren, Managing Director, S&P Global Al Quintero, Senior Manager, Samuel A. Ramirez & Co., Inc
<p>Unclaimed Property Programs (Ballroom 2)</p> <p>CPE Field of Study: Specialized Knowledge</p>	<p>Deeper Dive: Hot topics identified in the any of the sessions as well as in surveys distributed prior to the conference will be discussed. This will be a highly participatory session and an excellent opportunity for attendees to learn from one another's successes and ideas.</p> <p>Moderator: Mark W. Bracken, J.D., Assistant Treasurer, Director of the Unclaimed Property Division, Massachusetts Treasury</p>
<p>12:00 - 1:15 P.M. LUNCH (Ballroom 3 & 4 and Atrium)</p>	

<p>1:15 - 2:30 P.M. TRACK SESSIONS</p>	
<p><i>Joint Session</i></p> <p>Banking, Cash Management & Investments State Debt Management (Minnesota)</p> <p>CPE Field of Study: Economics</p>	<p>Global Economic Update: This keynote session features a respected economist talking about the global economic trends affecting the banking industry with an emphasis on rising interest rates.</p> <ul style="list-style-type: none"> Vincent Reinhart, Chief Economist, BNY Mellon
<p>College Savings Plans (Ballroom 1)</p> <p>CPE Field of Study: Finance</p>	<p>MISSION IMPOSSIBLE? How Do You Measure Success? Examine different perspectives of various metrics currently used to measure 529 plan success. The session will also explore what plans may see in the future as tools to determine if they are successfully meeting their goals and objectives.</p> <p>Moderator: Mitch Seabaugh, Executive Director, Georgia Path2College 529 Plan</p> <ul style="list-style-type: none"> Lael Oldmixon, Director, Education Trust of Alaska Scott Ridgely, Director of Marketing and Communications, Virginia529 Bill Wostoupal, President, Northern Lights Distributors, LLC
<p>Financial Education & Empowerment (St. Croix I)</p> <p>CPE Field of Study: Personal Development</p>	<p>Salary Negotiation Workshop: Attendees will participate in a live salary negotiation workshop facilitated by the American Association of University Women (AAUW). Women working fulltime in the United States typically are paid 80 percent of what men are paid. AAUW Work Smart is an interactive workshop that teaches women to evaluate, negotiate, and articulate their worth confidentially in the job market. Negotiation ensures that women are putting a fair price on their work. By negotiating fair and equitable salaries, women are better financially positioned throughout their lives. The Massachusetts Treasury is currently running these workshops through their Women's Economic Empowerment Series. After participating in this session attendees will be able to: identify the steps of salary negotiation, practice negotiation strategies and techniques and feel confident in their ability to negotiate salary and benefits packages.</p> <p>Moderator: Alayna Van Tassel, Deputy Treasurer, Executive Director of the Office of Economic Empowerment, Office of the Massachusetts Treasurer</p> <ul style="list-style-type: none"> Jesse Rauch, Senior Program Manager, Start Smart and Work Smart, AAUW Dorrie Sieburg, Program Manager, Start Smart and Work Smart, AAUW
<p>NAUPA HOLDER WORKSHOP & FORUM BEGINS</p> <p>Unclaimed Property Programs (Ballroom 2)</p> <p>CPE Field of Study: Specialized Knowledge</p>	<p>Legislative Update: Holder workshop attendees will be welcomed to the conference along with NAUPA members to learn about the latest unclaimed property-related legislation being proposed and enacted throughout the country. Other policy topics will also be discussed.</p> <p>Moderator: Suzanne Darling, Vice President, Conduent</p> <ul style="list-style-type: none"> David Gregor, Director of Revenue/State Escheator, Delaware Department of Finance Dennis Johnston, Unclaimed Property Administrator, Utah Treasury
<p>2:30 - 2:45 P.M. BREAK (Atrium & 6th Floor Prefunction)</p>	
<p>2:45 - 3:35 P.M. TRACK SESSIONS</p>	
<p>Banking, Cash Management & Investments (Minnesota)</p> <p>CPE Field of Study: Finance</p>	<p>Managing Investment in a Rising Rate Environment: A panel of experts will discuss the rising rate environment and the alternate investments available as a result. We have seen rates rise in over a decade and it is therefore new and uncharted territory for a lot of people who probably weren't exposed to it before.</p> <p>Moderator: Treasurer Jeff Barnette (DC)</p> <ul style="list-style-type: none"> Sam Ramirez Jr., CEO, Ramirez Asset Management Steven Wagner, Senior Portfolio Manager, Federated Investors Greg Warner, Director, Senior Portfolio Manager, Hilltop Securities
<p>College Savings Plans (Ballroom 1)</p> <p>CPE Field of Study: Finance</p>	<p>Making the Save: Aligning your 529 plan investment lineup with your plan goals: It's probably safe to say that most 529 investors want the same out of their investment goals as out of their favorite hockey team – more wins and less losses. Our line of experts will discuss how to ensure you have a Stanley Cup-worthy investment line-up framework that reflects plan objectives and supports the best interests of your investors. Plan objectives may include (1) keeping it simple, (2) maximizing returns by keeping costs low, or (3) creating the potential for putperformance with blended active/passive strategies. We'll talk through the risks and trade-offs for the different strategies, and how to avoid getting checked into the boards, icing the puck, or heading to the penalty box.</p> <p>Moderator: Treasurer Michael Frerichs (IL)</p> <ul style="list-style-type: none"> Scott Donaldson, Senior Investment Strategist, Vanguard Investment Strategy Group Jeremy Thiessen, Senior Director, TIAA-CREF Tuition Financing Inc.'s Investment Strategy Group

CONFERENCE PROGRAM

<p>Financial Education & Empowerment (St. Croix I)</p> <p>CPE Field of Study: Specialized Knowledge</p>	<p>The Latest Trends in High School Financial Literacy Programs: This panel will discuss differences and pros and cons of different high school financial literacy models, including demonstrations of various programs and a discussion of how they are implemented.</p> <p>Moderator: Treasurer David Damschen (UT)</p> <ul style="list-style-type: none"> • Meg Catherine McKinney, Strategy Analyst, Vanguard • Hugh Norton, Head of U.S. Financial Education, Visa
<p>State Debt Management (St. Croix II)</p> <p>CPE Field of Study: Economics</p>	<p>What Ratings to Use: Due to many factors, issuers are faced with increasing fees and time commitments to maintain relationships with multiple rating agencies. Do investors really care how many and which ratings an issuer uses? How many ratings do issuers really need to ensure optimal awareness, credit input, and pricing of its obligations? What other factors should issuers consider before asking a rating agency to STOP rating a credit? Join this session to explore these questions.</p> <p>Moderator: Dave Erdman, Capital Finance Director, State of Wisconsin</p> <ul style="list-style-type: none"> • Luke Baker, Senior Fixed Income Credit Analyst, Wells Fargo • Noreen White, Co-President, Acacia Financial Group
<p>Unclaimed Property Programs (Ballroom 2)</p> <p>CPE Field of Study: Specialized Knowledge</p>	<p>Reporting and Compliance 101: Attendees will be given a crash-course in core unclaimed property concepts. Key terms will be defined. Core concepts such as dormancy periods, due diligence and reporting requirements will be introduced and discussed by a panel of state experts. You will leave this session with the basic knowledge and tools to ensure your company complies with State unclaimed property laws.</p> <p>Moderator: Kathy Janes, Director of Unclaimed Property, Oklahoma Treasury</p> <ul style="list-style-type: none"> • Jennifer L. Brown, Manager, Holder Education and Research Unit, New York Office of the State Comptroller • Kathleen Lobell, Director of Unclaimed Property, Louisiana Treasury

3:35 - 3:50 P.M.


BREAK (Atrium & 6th Floor Prefunction)



MARK YOUR CALENDAR!
NAST 2017 Annual Conference
September 16-20
Boston, Massachusetts

We're proud to support the
2017 Treasury Management Training Symposium

Success. It requires vision and the right balance of risk and reward. We see the big picture, connecting clients to opportunity and paving the way ahead.

statestreet.com 
STATE STREET.

<p>3:50 - 4:40 P.M. TRACK SESSIONS</p>	
<p><i>Joint Session</i></p> <p>Banking, Cash Management & Investments</p> <p>Financial Education & Empowerment (Minnesota)</p> <p>CPE Field of Study: Specialized Knowledge</p> <p>Tracks End</p>	<p>Effective Strategies for State Treasurers and Banking Service Partners to Advance the Financial Health of Residents: States are taking on an increasingly important role in working with their constituents to improve their financial health. In addition to promoting the financial resiliency and well-being of the state residents, these efforts can also reduce evictions, late utility payments and usage of public benefits and promote more inclusive economic growth. This session will explore the most effective strategies used by banking service partners to promote the financial resiliency and health of constituents beyond traditional financial literacy efforts.</p> <p>Moderator: Treasurer Steve McCoy (GA)</p> <ul style="list-style-type: none"> Charlie Corrigan, Relationship Manager, Global Philanthropy, JP Morgan Lauren Olson, Assistant Vice President, Financial Education Strategy, US Bank
<p>College Savings Plans (Ballroom 1)</p> <p>CPE Field of Study: Communications & Marketing</p>	<p>Broadening the Reach: Creative Strategies to Expand Participation: States and their private sector partners continue to explore new and modify old approaches to expanding program participation. Hear from 529 representatives about number of strategies including use of a first-of-its kind co-branded retail gift card, the streamlining of connections with brand new moms and the expansion of relationships with various gatekeepers.</p> <p>Moderator: Patricia Roberts, Independent Consultant</p> <ul style="list-style-type: none"> Lisa Churchville, Chief Operating Officer, Rhode Island Office of the General Treasurer Fernando Diaz, College Savings Manager, Illinois State Treasurer's Office Mario Sierras, Manager, ScholarShare Investment Board
<p>State Debt Management (St. Croix II)</p> <p>CPE Field of Study: Management Advisory Services</p> <p>Track Ends</p>	<p>Innovative Financing for Infrastructure: This session will explore innovative ways states can finance much needed infrastructure.</p> <p>Moderator: Mark Pascarella, Director, Indiana Finance Authority</p> <ul style="list-style-type: none"> Christopher Elmore, Vice President, Goldman Sachs Tom Huestis, Senior Managing Director, Public Resources Advisory Group Eric Rockhold, Managing Director, Bank of America Merrill Lynch
<p>Unclaimed Property Program (Ballroom 2)</p> <p>CPE Field of Study: Specialized Knowledge</p>	<p>Industry Roundtables : State representatives will act as moderators in this highly interactive session that will allow attendees to discuss unclaimed property issues that are of particular importance to their individual area of business.</p> <p>Moderators: Select State Administrators</p>

6:00 - 8:00 P.M.
A NIGHT AT THE BALLPARK: TARGET FIELD

Come and join us for a night at the ballpark. Target Field is the home of the Minnesota Twins. The Twins are away while we are there, however, we will have a fantastic view of the stadium while we enjoy drinks and ballpark fare. Target Field is a short walk from the Marriott. Turn right when leaving the Marriott and follow Seventh Street until reaching the stadium. Our event is in the Puckett Atrium.



FRIDAY, MAY 12

7:30 A.M. - 12:00 P.M.
REGISTRATION (Atrium)

7:30 - 8:30 A.M.
BREAKFAST (Ballroom 3 & 4 and Atrium)

9:00 A.M. - 12:00 P.M.
PORTFOLIO MANAGERS WORKSHOP (Pine & Cedar)

This is a closed event for state portfolio managers to gather to discuss investment issues of concern. Topics to be covered will include amending state code for new investments, custody, GASB pronouncements, accounting systems, and any other topics of concern for portfolio managers. Washington state Deputy Treasurer Doug Extine will lead the discussion and a conference call line will be available for any managers that can't join us in Minneapolis. To join the discussion remotely please dial (1) 217 258-5588 and use code 53695.

CONFERENCE PROGRAM

8:30 - 9:20 A.M. TRACK SESSIONS	
<p>ABLE Savings Plans (Ballroom 1)</p> <p>CPE Field of Study: Communications & Marketing</p>	<p>Marketing and Outreach for ABLE Programs: Trying to figure out the best way to market your state's ABLE program and reach potential ABLE participants? ABLE program administrators and program managers will discuss a variety of approaches to marketing ABLE programs. We will hear specific ideas on how to leverage the administrating entities' strengths, how to market on a tight budget, how to forge valuable partnerships and much more!</p> <p>Moderator: G. Alisa Ferguson, Associate Counsel, Virginia529</p> <ul style="list-style-type: none"> Deborah Goodkin, Managing Director, Savings Plans, First National Bank of Omaha Scott Ridgely, Director of Marketing and Communications, Virginia529 Colin Stemper, Fiscal Policy Analyst, Minnesota Department of Human Services
<p>Unclaimed Property Program (Ballroom 2)</p> <p>CPE Field of Study: Specialized Knowledge</p>	<p>Reporting & Compliance 201: This session will build upon your knowledge gained in the 101 session. In depth information will be provided on a wide range of topics with an emphasis on best practices. State Unclaimed Property Unit staff members will describe and demystify the audit process and will let you know what to expect should your company be chosen for a compliance review. Differences in state reporting requirements will be discussed, and detailed information about California's reporting requirements will be presented.</p> <p>Moderator: Phillip Carlton, Assistant Director, Unclaimed Property Division, Florida Department of Financial Services</p>
<p>NAUPA Legal Community of Practice (Deer/Elk Lake)</p> <p>CPE Field of Study: Specialized Knowledge CLE application in process</p>	<p>Unclaimed Property Legal Practice Resources: The NAUPA Legal Community of Practice is less than a year old. This session, specifically geared toward attorneys for state unclaimed property programs, will focus on identifying and sharing legal resources. Among the topics to be discussed are: developing an online library of suggested legislative language and administrative rules; a repository of unclaimed property legislation, issue briefs, and lobbyist information; a repository of litigation documents ranging from discovery requests to complaints to briefs and motions; and, providing resources to address complex claims issues from out-of-state probate and small estates to bankruptcy and ERISA preemption.</p> <p>Moderator: G. Allen Mayer, Esq., Deputy General Counsel, Illinois Treasury</p> <ul style="list-style-type: none"> Amy L. Crane, Esq., General Counsel, Rhode Island Treasury Matthew LaFon, Esq., Assistant Attorney General Assigned to the State Treasurer, Oklahoma Office of the Attorney General Brian Munley, Esq., CPA, CGAP, Director, Bureau of Unclaimed Property, Pennsylvania Treasury
9:20 - 9:35 A.M. BREAK (Atrium)	
9:35 - 10:25 A.M. TRACK SESSIONS	
<p>ABLE Savings Plans (Ballroom 1)</p> <p>CPE Field of Study: Finance</p>	<p>ABLE Legislative and Regulatory Update: The last year has seen many ABLE programs open for business. While our industry worked closely with the Department of Treasury to develop the proposed regulations, there is nothing like hands-on experience to highlight what needs to be added or changed to those regulations and to 529A itself. We'll be discussing some proposals for making ABLE more customer and program-friendly and will also be providing the latest news on the pending final regulations and the SSA data transfer.</p> <p>Moderator: Christopher T. McGee, General Counsel, Virginia529</p> <ul style="list-style-type: none"> Sarah Hart Weir, President, National Down Syndrome Society Chris Rodriguez, Director of Public Policy, National Disability Institute
<p>Unclaimed Property Program (Ballroom 2)</p> <p>CPE Field of Study: Specialized Knowledge</p>	<p>Industry Roundtables - Part 2: Attendees will regroup to continue discussing items that are of particular importance to their individual area of business.</p> <p>Moderator: Select State Administrators</p>
<p>NAUPA Legal Community of Practice (Deer/Elk Lake)</p> <p>CPE Field of Study: Specialized Knowledge CLE application in process</p>	<p>Revised Uniform Unclaimed Property Act (RUUPA) and the States: In 2016 the Uniform Law Commission (ULC) released the Revised Uniform Unclaimed Property Act (RUUPA). Most existing state unclaimed property acts are based on one of the previous ULC Unclaimed Property Acts from 1956, 1981, or 1995. Legislation modeled on the RUUPA has been introduced in six states: Delaware, Utah, Nebraska, Minnesota, Tennessee, and Illinois. There are indications that other states will consider the RUUPA in legislative sessions either later this year or in 2018. Meanwhile, legal advocates for holders within the American Bar Association (ABA) have indicated that they intend to draft their own model legislation. Learn from a panel of experts about the issues of interest to state unclaimed property programs as the RUUPA is considered, debated, amended, and even passed by state legislatures.</p> <p>Moderator: Treasurer Don Stenberg (NE)</p> <ul style="list-style-type: none"> Tim Cullen, Esq., Associate General Counsel, Kelmar Associates, LLC Michael K. Green, Esq., Assistant Attorney General, Utah Office of the Attorney General Michael Houghton, Esq., Co-chair, Revise the Uniform Unclaimed Property Act Committee, Past President, Uniform Law Commission

10:25 - 10:40 A.M. BREAK (Atrium)	
10:40 - 11:55 A.M. TRACK SESSIONS	
<p>ABLE Savings Plans (Ballroom 1)</p> <p>CPE Field of Study: Finance</p>	<p>ABLE State of the States: With almost 20 ABLE programs open across the country and more expected to be coming on line in the near future, it's time to adapt the ever-popular 529 Plan State of the States to ABLE! We will hear from ABLE administrators across the country on latest developments and milestones; investor behavior; hits and misses; coordination with disability advocates; regulatory issues; outreach initiatives and more!</p> <p>Moderator: Mary Anne Busse, Managing Director, Great Disclosure, LLC</p>
<p>Unclaimed Property Program (Ballroom 2)</p> <p>CPE Field of Study: Specialized Knowledge</p>	<p>State Panel and Hot Topics: This will be a highly interactive session with attendees provided the opportunity to ask a panel of state experts questions about unclaimed property reporting and compliance concepts. Noteworthy issues identified during the Industry Roundtable sessions will be discussed.</p> <p>Moderator: John Gabriel, Director of Unclaimed Property, Tennessee Treasury</p>
<p>NAUPA Legal Community of Practice (Deer/Elk Lake)</p> <p>CPE Field of Study: Specialized Knowledge CLE application in process</p>	<p>Responding to Aggressive Legal Arguments by Holder Advocates: An increasing number of law firms and holder advocates are raising aggressive legal arguments challenging routine unclaimed property examinations or reporting requirements. Examples include challenging the constitutionality of aspects of unclaimed property statutes, insisting that state auditors cannot examine records held by third parties, citing out-of-state legal precedence as though it was binding on your state unclaimed property program, and proposing exaggerated interpretations of exemptions in your state unclaimed property act. A panel of legal experts from state unclaimed property programs and NAUPA corporate affiliates will provide a "how to" of responses that will be useful for attorneys representing state unclaimed programs.</p> <p>Moderator: Lynden Lyman, The Unclaimed Property Advisor and NAUPA Special Consultant</p> <ul style="list-style-type: none"> • Carolyn Atkinson, Esq., Deputy Treasurer, Unclaimed Property, West Virginia Treasury • Gwendolyn Drake, Esq., Assistant General Counsel, Illinois Treasury • Caroline Marshall, Esq., General Counsel, Verus Financial LLC

CONTINUING PROFESSIONAL EDUCATION

Learning Objectives

At the conclusion of this conference, participants will be able to:

- Recount changes to the roles and responsibilities of state treasurers.
- Identify and discuss current issues and best practices for debt management, banking, cash management and investments.
- Apply practical information learned through case studies from peer offices and organizations as it relates to unclaimed property.
- Discuss state government financial management as it relates to the broader national fiscal outlook for the nation.
- Interact with financial literacy experts from around the nation to identify and formulate strategies to educate citizens on money management issues.

Level of Knowledge: Overview

Education or Experience Prerequisite: No prerequisites are required.

Advanced Preparation: No advanced preparation required.

Delivery Method: Group Live. All sessions include Q&A opportunities.

Attendance Requirements: In order to obtain CPE credit for this event, participants must submit attendance verification codes provided during each session.

CPE Credits: The following CPE credits will be offered:

- Banking, Cash Management & Investments Track: Up to 13
- College Savings/ABLE Plans Track: Up to 18.5
- Financial Education & Empowerment Track: Up to 13
- State Debt Management Track: Up to 13
- Unclaimed Property Program/NAUPA Legal Community of Practice Track: Up to 16.5



The National Association of State Treasurers is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.nasbregistry.org.

Questions?

Questions about cancellations or administrative policies may be directed to Kathleen Young at (859) 276-1193 or kyoung@nasact.org.

2017

ANNUAL CONFERENCE

September 17-20

Boston Marriott Long Wharf — Boston, Massachusetts

2018

LEGISLATIVE CONFERENCE

February 11-13

Grand Hyatt Hotel — Washington, DC

TREASURY MANAGEMENT TRAINING SYMPOSIUM

June 5-8

Buena Vista Palace Hotel — Lake Buena Vista, Florida

ANNUAL CONFERENCE

September 30-October 3

The Scottsdale Resort at McCormick Ranch — Scottsdale, Arizona



NATIONAL ASSOCIATION OF STATE TREASURERS

Washington Office

701 Eighth Street, NW

Suite 540

Washington, DC 20001

(202) 347-3865

Lexington Office

201 E. Main Street, Suite 540

Lexington, KY 40507

(859) 721-2190

www.nast.org