

2019

TREASURY MANAGEMENT TRAINING SYMPOSIUM

May 21-24, 2019 · Providence, Rhode Island

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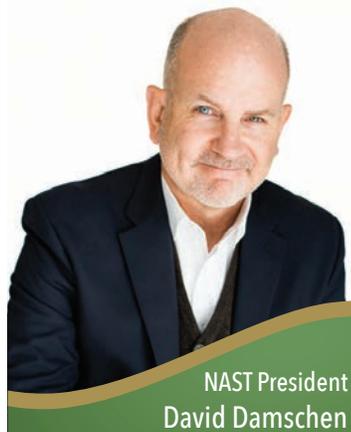
We salute all Symposium attendees and acknowledge their contributions to pursuing and administering sound financial policies and programs benefiting the citizens of the nation.

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NAST President
David Damschen

I am pleased to welcome you to the 2019 National Association of State Treasurers (NAST) Treasury Management Training Symposium. I would like to sincerely thank our host, Rhode Island General Treasurer Seth Magaziner. We are excited to be in Providence and grateful for the work he and his staff have done to give us such a warm welcome and make this event a success.

I am deeply honored to serve as NAST President this year. NAST has been essential to me in my career, both as chief deputy treasurer as well as the Utah State Treasurer. As members of NAST, we realize innumerable benefits, including advocacy support, tailored professional education, and essential opportunities for networking. I have benefitted far more from my association with NAST than I could ever give back, and I am confident that your experience is, or will be, like mine.

For more than 40 years, NAST has provided advocacy, support and educational opportunities for State Treasurers and their staff. As an association of finance officers, we have strived to advance the development and administration of sound fiscal policies, prudent management of state resources, and the ongoing support of financial wellness programs in our respective states.

As our country faces a number of fiscal challenges, such as aging infrastructure, rising levels of student debt, and a lack of retirement readiness and financial security, the Treasury Management Training Symposium provides a unique opportunity for treasury professionals to collaborate and learn from one another in solving these pressing issues.

This year's symposium offers training sessions on core treasury functions, including investing, managing pensions, administering 529 college savings plans, overseeing state debt, the administration of unclaimed property programs, as well as emerging programs, such as administering ABLE plans, promoting financial literacy, protecting against fraud and other threats, exploring cybersecurity and new technology and improving the affordability of education.

I would like to thank the entire NAST leadership team for their hard work and continued efforts to improve the organization and serve our membership. The outstanding efforts of the Executive Committee, Program & Learning Committee, other standing committees, Networks, Corporate Affiliates, and NAST staff has laid the groundwork for NAST's growth and continued success. The success of our conferences and our shared work is heavily dependent on the hard-working NAST staff and the Treasurers who volunteer to take on leadership posts.

Welcome to Providence, Rhode Island. I look forward to a great week of learning and collaboration and to seeing you all again this fall at our Annual Conference in Naples, Florida.

A handwritten signature in black ink that reads "David Damschen". The signature is fluid and cursive, with a long horizontal flourish extending to the right.

David Damschen
NAST President
Utah State Treasurer

Treasury Management Training Symposium

Your Color-Coded Conference

To make navigating this year's symposium easier, pages three through seven are designed to give you easy access to everything you'll need. The Convention Center Map, Schedule at a Glance, Networking Meal Table Cloths, and Conference Program have all been color-coded by sessions.

Networking Meals

Pick a color - but not any color. To provide a better networking experience, tables will be set up with different colored linens so you can find job-alike colleagues to talk with during meals. Whether you are an expert or new to the topic, find a table and join the conversations.

Tablecloth Colors

Light Grey	Purple	Red	Silver	Green	Light Blue	Navy Blue	White
Innovations & Trends in the Treasury	ABLE Savings Plans	College Savings Plans (529s)	Financial Education & Empowerment Programs	Investments & Cash Management	State Debt Issuance	Unclaimed Property	General Topics

Session Topics

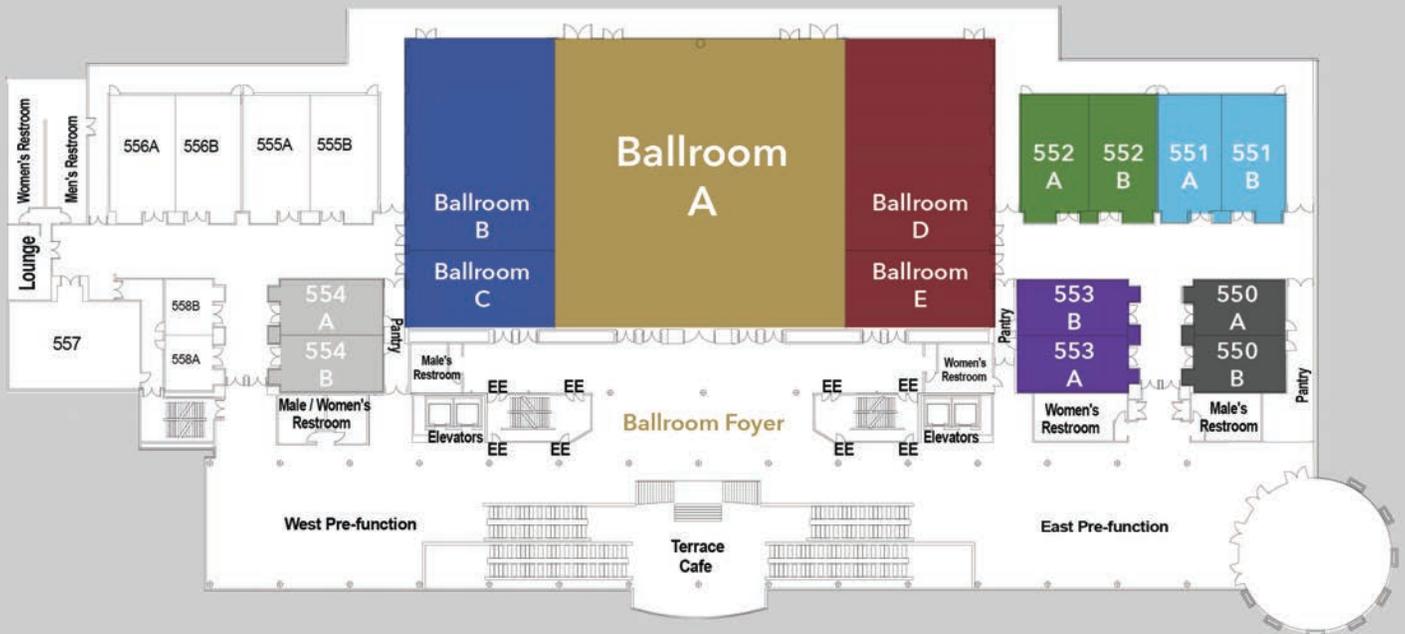


Schedule at a Glance

Tuesday, May 21

12 noon - 7:00 PM	Registration	Ballroom Foyer
12:30 - 2:00 PM	Portfolio Managers' Workshop (Invitation Only)	Room 552 B
1:00 - 2:00 PM	CSPN Communications Committee	Room 553 A&B
1:30 - 3:00 PM	CSPN Prepaid Tuition Plan Forum	Room 550 A&B
2:00 - 3:00 PM	CSPN Legal & State Affairs Committee	Room 553 A&B
2:00 - 4:00 PM	RUUPA in the States	Room 552 A
3:00 - 4:00 PM	NAST Corporate Affiliate Advisory Board Meeting CSPN Federal Initiatives Committee	Room 552 B Room 553 A&B
3:00 - 5:00 PM	CSPN 529 Primer & CSPN Overview	Room 550 A&B
4:00 - 5:00 PM	NAST Executive Committee Meeting	Room 551 A
5:00 - 6:00 PM	CSPN Corporate Affiliate Committee Meeting	Room 553 A&B
5:15 - 6:00 PM	New Conference Attendee Networking Event (Invitation Only)	Rhode Island Convention Center: Rotunda
6:00 - 8:00 PM	Welcome & Networking Reception	Rhode Island Convention Center: Rotunda

Rhode Island Convention Center 5th Floor



Joint ABLER Savings Plans & College Savings Plans (529s) Sessions held in Ballrooms D & E

ABLE Savings Plans

College Savings Plans (529s)

Financial Education & Empowerment Programs

Innovations & Trends in the Treasury

Investments & Cash Management

State Debt Issuance

Unclaimed Property

Schedule at a Glance

Wednesday, May 22

7:15 AM - 4:30 PM **Registration** Ballroom Foyer

7:30 - 8:00 AM **Networking Breakfast** Ballroom A

8:00 - 8:45 AM **Keynote Address** Ballroom A

ABLE Savings Plans	College Savings Plans (529s)	Financial Education & Empowerment Programs	Innovations & Trends in the Treasury	Investments & Cash Management	State Debt Issuance	Unclaimed Property
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12:30 - 1:15 Networking Lunch

9:00 - 10:00 AM	10:15 - 11:15 AM	11:30 - 12:30 PM	1:30 - 2:30 PM	2:45 - 3:45 PM
<p>Room 553 A&B What clicks? Discussing ABLE Program Communications and Social Media</p> <p>Ballroom D&E State of the States: From 1989 to 2019 & Beyond</p> <p>Room 550 A&B Falling Behind? A Look into Personal-Finance Education in K-12 Schools</p> <p>Room 554 A&B Federal Relations Update</p> <p>Room 552 A&B Incident Response: How Prepared is Your Organization to Respond to a Cyberattack?</p> <p>Room 551 A&B The ABCs and XYZs of State Debt Management</p> <p>Ballroom B&C State of the States, Part 1</p>	<p>Room 553 A&B Expanding the Availability of ABLE Accounts Through Organizations Representative Payees</p> <p>Ballroom D&E State of the States: From 1989 to 2019 & Beyond.... (Continued)</p> <p>Room 550 A&B Women in Finance: Creating a Pipeline to Attract More Women to the Field</p> <p>Room 554 A&B Preview of the 2019 National Institute of Public Finance</p> <p>Room 552 A&B Incident Response: Your Questions Answered</p> <p>Room 551 A&B How to Get the Best Structure & Pricing in Today's Market</p> <p>Ballroom B&C State of the States, Part 2</p>	<p>Room 553 A&B Teamwork Makes the Dream Work: How Partnerships can Elevate Your ABLE Program</p> <p>Ballroom D&E A Smoother Path to Education: Everything You've Always Wanted to Know About Glidepaths and Other Investment-type Stuff</p> <p>Room 550 A&B Financial Literacy in the Third Space: Engaging Afterschool Learners</p> <p>Room 554 A&B Diversity as a Value Proposition</p> <p>Room 552 A&B Fraud Prevention and Improper Payments</p> <p>Room 551 A&B Time for a Check-Up: Examining the Health of the Tax-Exempt Market</p> <p>Ballroom B&C Everything You Wanted to Know About Legislation and Litigation</p>	<p>Room 553 A&B ABLE Investment Design - Did We Get it Right?</p> <p>Ballroom D&E It's a Beautiful Day in Our Neighborhood: 529 Campaign Highlights and Plans for the Future</p> <p>Room 550 A&B The National Student Loan Crisis: What Is a Treasurer to do?</p> <p>Room 554 A&B Learning the Importance of Grassroots at the Treasury</p> <p>Room 552 A&B Working Alongside Investment Consultants and Managers</p> <p>Room 551 A&B A Year Under the Belt: The Tax Cuts and Jobs Act's Impact on Issuers, the Market and the Economy</p> <p>Ballroom B&C Cryptocurrency: Hurdles on the Horizon</p>	<p>Room 553 A&B ABLE State of the States</p> <p>Ballroom D&E What's on the Horizon -- Innovation Concepts Through Technology</p> <p>Room 550 A&B Your States' Saving Crisis - More than 40 Percent of the Population Can't Pay for a \$400 Unexpected Bill. You Can Help Them!</p> <p>Room 554 A&B Thinking About Secure Choice? Here's What You Need to Know</p> <p>Room 552 A&B State Banks and Cannabis Banking</p> <p>Room 551 A&B SDMN Meet & Greet</p> <p>Ballroom B&C Identity Fraud: Detection and Prevention in a Dark Web World</p>

4:00 - 5:00 PM **Deputy Treasurer & Chief of Staff Meeting (Invitation Only)** Room 550 A&B

6:00 - 9:00 PM **Taste of Rhode Island Buffet Dinner** Rhode Island State House

Schedule at a Glance

Thursday, May 23

7:15 AM - 4:30 PM	Registration	Ballroom Foyer
7:30 - 8:15 AM	Networking Breakfast	Ballroom A
7:30 - 8:15 AM	CSPN First Time Attendee Breakfast (Invitation Only)	Room 555 B
7:30 - 8:15 AM	Unclaimed Property Attendee Breakfast (Invitation Only)	Room 556 A&B

ABLE Savings Plans	College Savings Plans (529s)	Financial Education & Empowerment Programs	Innovations & Trends in the Treasury	Investments & Cash Management	State Debt Issuance	Unclaimed Property
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12:00 - 1:15 Networking Lunch, and Keynote

8:30 - 9:30 AM	9:45 - 10:45 AM	11:00 - 12:00 PM	1:30 - 2:30 PM	2:45 - 3:45 PM
Ballroom D&E <i>Joint Session: ABLE Savings Plans & College Savings Plans (529s)</i> Leveraging Earned Media & Public Relations to Enhance the Visibility & Awareness of State 529 and ABLE Plans	Room 553 A&B How to Make a Big Impact for ABLE Ballroom D&E Is There a Bright Side to the Student Loan Debt Problem for 529 Programs?	Ballroom D&E <i>Joint Session: ABLE Savings Plans & College Savings Plans (529s)</i> Diverse US Demographics: Outreach in an Evolving Landscape	Room 553 A&B Managing Fraud in State-Sponsored Savings Programs Ballroom D&E Children's Savings Accounts, Pilot Programs, and Partnerships: Encouraging Low- and Middle-Income Families to Save for College	Room 553 A&B Special Needs Trusts vs. ABLE Accounts Ballroom D&E Unleashing the Power of Partnerships - Broadening the Reach of 529 Plans
Room 550 A&B No More Excuses: Time for an Employee Financial Wellness (Pay) Check-Up	Room 550 A&B Building a Multi-Sector Coalition Supporting K-12 Financial Literacy	Room 550 A&B Building Youth Financial Capability and Financial Well-Being through Evidence & Practice	Room 550 A&B Starting Strong: Using Higher-Education Financing to Teach Financial Competencies	
Room 554 A&B Training on Suspicious Packages, Substances, Email and Phone Threats	Room 554 A&B The Future of Real-time Payments for Government and Citizens	Room 554 A&B Can Blockchain and Artificial Intelligence Assist State Treasuries in Achieving Investment Objectives?	Room 554 A&B All Treasury Tech Considered	
Room 552 A&B Qualified Institutional Buyers: How Can You Qualify and What Are the Benefits?	Room 552 A&B Short-Term Investments	Room 552 A&B Improving the Internal Discipline of Cash Forecasting	Room 552 A&B LIBOR Transition: Where Is the Market SOFR?	Room 552 A&B How to Approach Sustainable or ESG Investing?
Room 551 A&B Bye-Bye PDF, Hello iXBRL: Debt Management Technology Modernization	Room 551 A&B Making Investor Interactions Work for You	Room 551 A&B Demographic Changes and the Impact on Economics, Ratings, and Investing	Room 551 A&B The MSRB Listens: Town Hall with Members of the Municipal Securities Rulemaking Board	Room 551 A&B Public-Private Partnerships: Lessons Learned and Implications for Infrastructure Investment
Ballroom B&C Reuniting Owners with Unclaimed Property Takes a Village: The Public-Private Partnership	Ballroom B&C UCP Legal Trends and Overviews	Ballroom B&C Marketing & Media Relations	Ballroom B&C Securities: Can't Live With Them.... Can't Live Without Them	Ballroom B&C Mind the Gap: Focusing a State's Compliance Regime on Gaps in Reporting

4:00 - 5:00 PM	Communications and Public Policy Caucus Meeting (Invitation Only)	Room 550 A&B
4:00 - 5:00 PM	Round Table Discussion: Reframing and Rebuilding the Financial Literacy Conversation	Room 552 A&B
6:30 - 9:30 PM	Buffet Dinner at Skyline with WaterFire	Skyline

Schedule at a Glance

Friday, May 24

7:15 - 10:00 AM	Registration	Ballroom Foyer
7:30 - 8:15 AM	Networking Breakfast	Ballroom A
7:30 - 10:00 AM	Joint Session: ABLE Savings Plans & College Savings Plans (529s) End of Conference Networking Opportunity	Ballroom D
8:30 - 10:30 AM	Post-Conference Workshops	
8:30 - 10:30 AM	Blockchain Broken Down: An Evolving Conversation in the Public Sector	Ballroom E
8:30 - 10:30 AM	Committee of Legal Practices Deep Dive into the Recurring Legal Issues in Unclaimed Property Audits: Roundtable discussion	Room 551 A&B
8:30 - 10:30 AM	The 3Cs of EMMA for Debt Managers: Customize, Comply, Connect with MSR	Room 552 A&B
8:30 - 10:30 AM	Leveraging the Media: How to Maintain Control of Your Message	Ballroom B

Wi-Fi Access

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Guests may access complimentary wifi.
Network: 2019 NAST TMTS
Password: ThinkDrinker

Photography & Video

During the conference, NAST will be taking photographs and video footage. We may use these images in association publications, such as NAST websites and promotional materials. Thank you in advance for your participation in our outreach and marketing activities.

Conference App

Interact with the NAST Symposium Attendees

NAST is bringing the most technologically advanced experience to our attendees through our Conference App! With one download you'll be able to create a personalized agenda, quickly share contact info, interact with panels and committees, share pictures to social media, and more.

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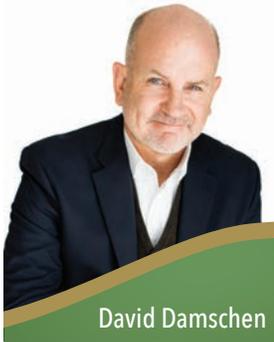
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NAST Leadership & Staff

2019 Executive Committee



David Damschen
State Treasurer, Utah
President



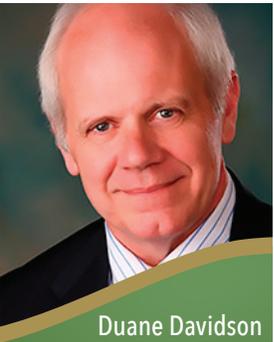
Deborah Goldberg
State Treasurer, Massachusetts
Senior Vice President



Kelly Mitchell
State Treasurer, Indiana
Secretary/Treasurer



Beth Pearce
State Treasurer, Vermont
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Duane Davidson
State Treasurer, Washington
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Michael Frerichs
State Treasurer, Illinois
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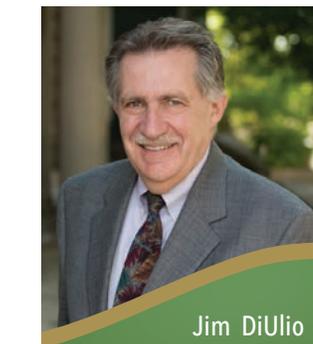
Seth Magaziner
State Treasurer, Rhode Island
Eastern Region Vice-President



Steve McCoy
State Treasurer, Georgia
Southern Region Vice-President



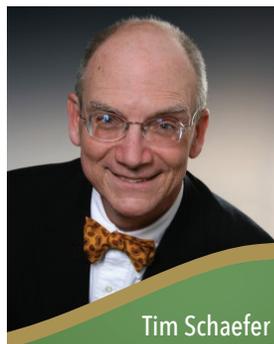
Tobias Read
State Treasurer, Oregon
Legislative Committee Chair



Jim DiUlio
Director, Wisconsin 529 Program
CSPN Representative



Andrea Lea
Auditor of State, Arkansas
NAUPA Representative



Tim Schaefer
Deputy Treasurer for
Public Finance, California
SDMN Representative



Steve Kantor
Regional Managing Director,
Hilltop Securities
Corporate Affiliate Advisory Board Chair

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Conference Program

Tuesday, May 21

12 noon - 7:00 PM

Registration

Ballroom Foyer

First stop for attendees to get name badges and other conference materials. NAST staff can assist with directions, collect lost items, pick up the CPE form, and answer your questions. Staff can also assist with the conference app.

All events take place in the Rhode Island Convention Center unless otherwise noted. The address is 1 Sabin St, Providence, RI, which is connected to the Omni Hotel.

12:30 - 2:00 PM

Portfolio Managers' Workshop (Invitation Only)

Room 552 B

Portfolio managers discuss issues that impact state fund managers across the country. Some of the previous year's topics include custodians, repo docs, accounting systems, 2a7 reform. Treasurers and relevant staff are invited to join this closed session for an extremely beneficial networking session to discuss operations and best practices with their peers.

2:00 - 4:00 PM

RUUPA in the States

Room 552 A

The Revised Uniform Unclaimed Property Act (RUUPA) continues to be introduced in state legislatures. Come hear from NAUPA experts on the issues facing state unclaimed-property programs where RUUPA is introduced, amended, debated, and implemented. You will also have the opportunity to discuss with your colleagues what is happening with RUUPA and your state in this participant-driven roundtable forum.

Moderator: Carolyn Atkinson, Deputy Treasurer for Unclaimed Property, West Virginia State Treasurer's Office

Panelists:

- John Gabriel, Director, Tennessee Unclaimed Property Division
- Michael Houghton for the Uniform Law Commission
- Allen Mayer, Chief of Staff, Illinois State Treasurer's Office

3:00 - 5:00 PM

CSPN 529 Primer & CSPN Overview

Room 550 A&B

What is Section 529 of the Internal Revenue Code? This pre-conference session for both new and regular attendees will cover the basic requirements of the statute, what is meant by the various terms and definitions found in Section 529, and will include an overview of gift and estate tax rules applicable to 529 accounts.

5:15 - 6:00 PM

New Conference Attendee Networking Event (Invitation Only)

Rhode Island Convention Center: Rotunda

Is this your first TMTS or NAST event? We invite you to join Treasurers, members of our Corporate Affiliate Advisory Board, and NAST staff at an informal meet-and-greet. NAST leaders will be on hand to answer any questions you may have about the program, networking events, and membership in the association. Stop by before you head to the Welcome Reception.

Hosted by: Former State Treasurers Richard Ellis (UT), Tom Huestis (DC), Brian Krolicki (NV), Shane Osborn (NE), and Ron Tillett (VA)



6:00 - 8:00 PM

Welcome & Networking Reception

Rhode Island Convention
Center: Rotunda

Join your colleagues for the TMTS kickoff reception. Whether this is your first or 15th conference with NAST, you are invited to help celebrate the start of the 15th annual event. With stunning views of the inner harbor, the Rotunda will be the place to be on Tuesday night. All registered attendees are invited.

Conference Program

Wednesday, May 22

7:15 AM - 4:30 PM

Registration

Ballroom Foyer

7:30 - 8:00 AM

Networking Breakfast

Ballroom A

Pick a color - but not any color. To provide a better networking experience, tables will be set up with different colored linens so you can find job-alike colleagues to talk with during meals. Whether you are an expert or new to the topic, find a table and join the conversations.

See page 3 for a key to the colors.

8:00 - 8:45 AM

Keynote Address

Ballroom A

Welcome: Treasurer Seth Magaziner (RI)

Introduction: Treasurer Deborah Goldberg (MA), NAST Senior Vice President

Keynote Speaker: Deval Patrick, Managing Director and Co-Managing Partner of Bain Capital, Former Governor of Massachusetts
"Strategies for Expanding Opportunity"

Join us as Governor Patrick talks about cross-sector collaboration at the state level.

Read Deval Patrick's bio on page 38

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9:00 - 10:00 AM

**ABLE Savings Plans
Welcome and Recognition**

Room 553 A&B

Treasurer Michael Frerichs (IL), ABLE Committee Chair, opens the conference with an update on Committee activities and recognition of members' achievements.

What clicks? Discussing ABLE Program Communications and Social Media

This session will analyze communication strategies implemented by ABLE programs. States are encouraged to bring sample materials and discuss examples of various degrees of success. This session is intended to be a town-hall-style conversation, creating the opportunity for idea and results sharing.

Moderator: Eric Ochmanek, Director of Special Projects, Ohio State Treasury

Panelists:

- David Bell, Deputy Director, Oregon Savings Network - Oregon State Treasury
- John Finch, Director of ABLE United, Florida Prepaid College Board
- JJ Hanley, Director of Illinois ABLE, Illinois State Treasurer's Office

**College Savings Plans (529s)
Welcome and Recognition**

Ballroom D&E

Jim DiUlio, CSPN Chair, opens the conference with an update on Network activities and recognition of members' achievements.

State of the States: From 1989 to 2019 & Beyond....

Is everything old truly new again? Join us to learn all about the great things happening with 529 plans across the states. From creative marketing initiatives to inspirational anecdotes, you'll learn how states are taking innovative steps as well as tried-and-true approaches to reach, educate, and engage families to save for their children's future in this complex, 21st century world. States will share initial learnings from the 2017 federal tax code changes, and highlight innovative initiatives they have launched engaging with families on new platforms and mediums, sharing insights on how they are helping families take action to avoid contributing to the largest student loan debt burden in US history, currently \$1.56 trillion dollars and growing.

Moderators:

- Betsy Hagen, Associate Director for Operations, Guaranteed Education Tuition (GET)
- Sheila Salehian, Deputy Treasurer, College Savings, Nevada State Treasurer's Office

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Financial Education & Empowerment Programs

Room 550 A&B

Falling Behind? A Look into Personal-Finance Education in K-12 Schools

Every student deserves the opportunity to achieve financial security. Unfortunately, too many young people in our country do not receive high-quality personal-finance instruction in school. This session will explore the status of personal-finance education requirements nationally and the obstacles and barriers to passage. It will also discuss the implementation of a personal-finance education requirement at the state level, policy tools and resources available to implement or expand personal-finance education, and the consequences of inaction.

Moderator: Charon Rose, Director of Outreach and Constituent Relations, Office of Rhode Island General Treasurer Seth Magaziner

Panelists:

- Christopher Caltabiano, Chief Program Officer, Council for Economic Education
- Hallie Davis, Research Associate, Global Financial Literacy Excellence Center
- Rick Metters, Vice President, RI Regional Public Affairs & Government Relations, Fidelity Investments

Innovations & Trends in the Treasury

Room 554 A&B

Federal Relations Update

Join NAST's advocacy professionals from Williams & Jensen as they discuss the latest policy issues, including tax-exempt municipal bonds and infrastructure, 529 college savings plans and ABLE accounts, with an update on appropriations, and other key issues. The new NAST caucus for state and federal government relations personnel in Treasury offices will also meet.

Moderators: Treasurer Rachael Eubanks (MI) and Treasurer Kelly Mitchell (IN), members of the NAST Legislative Committee

Panelists:

- Christopher Hatcher, Principal, Williams & Jensen
- Matthew Hoekstra, Principal, Williams & Jensen

Investments & Cash Management

Room 552 A&B

Incident Response: How Prepared is Your Organization to Respond to a Cyberattack?

Cyberthreats are the number-one concern of global CEOs - ranked higher than over-regulation, technological change, and even taxes. Today's cyberattacks are not a matter of "If" anymore, but "when" - and many organizations are not prepared. In this session, we will discuss the common mistakes organizations make that leave them unprepared. We will discuss what organizations should be doing to prepare and who should be involved.

Moderator: Lynn Martin, Client Cyber Engagement, Bank of America Global Information Security

Panelists:

- Aubrey B. Farrar Sr., Senior Tech Manager, Info Security Engineer, Bank of America Global Technology
- Alex Hutton - Emerging Threat Analytics and Disruption, Bank of America Global Information Security and Operations
- Lisa Matthews, Human Threat Prevention Executive, Bank of America Global Information Security

State Debt Issuance

Room 551 A&B

The ABCs and XYZs of State Debt Management

This session will revisit and discuss some of the basics related to state-level debt management. In addition, this session will identify and expand on experiences, successes, and challenges that debt managers and advisors can share with others, including bond sale type, RFP processes, optimal bond sale dates, fixed-rate versus variable-rate, disclosure, and more.

Moderator: James MacDonald, First Deputy Treasurer, Massachusetts State Treasury

Panelists:

- Joe DaBreo, Director, PFM Financial Advisors, LLC
- Sue Perez, Deputy Treasurer, Massachusetts State Treasury
- Maulin Shah, Director, Municipal Banking and Markets, Bank of America Merrill Lynch

Unclaimed Property

Ballroom B&C

State of the States, Part 1

Engage with NAUPA leadership and your unclaimed-property colleagues. This two-part session will focus on how the association is proactively supporting states' unclaimed property programs as set in the NAUPA Five-Year Strategic Plan. Leaders will be recognized for their service and we will begin asking delegation leaders to introduce their teams and talk about their programs' current accomplishments and opportunities.

Opening Remarks: Auditor Andrea Lea (AR), NAUPA President & Arkansas Auditor of State

Moderators:

- Mark William Bracken, Assistant Treasurer, Commonwealth of Massachusetts
- Auditor Andrea Lea (AR), NAUPA President & Arkansas Auditor of State

10:00 - 10:15 AM – Break

Ballroom Foyer



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10:15 - 11:15 AM

ABLE Savings Plans

Room 553 A&B

Expanding the Availability of ABLE Accounts Through Organizations Representative Payees

Organizational Representative Payees are trusted advisors for many people with disabilities and provide an important service. This session will examine how ABLE programs can work with these organizations to become Authorized Legal Representatives and provide access to ABLE accounts for many people they support.

Moderator: Bette Ann Mobley, Director, Maryland ABLE

Panelists:

- Jim Balsan, COO, BNY Mellon - Sunday Administration
- Aureen Dulla, Director of Finance, The ARC Northern Chesapeake Region
- Kaellen Hessel, Advocacy/Outreach Manager, Oregon Savings Network

College Savings Plans (529s)

Ballroom D&E

State of the States: From 1989 to 2019 & Beyond.... (Continued)

The discussion continues from the first session, with states sharing the great things happening with 529 plans across nation.

Moderators:

- Betsy Hagen, Associate Director for Operations, Guaranteed Education Tuition (GET)
- Sheila Salehian, Deputy Treasurer, College Savings, Nevada State Treasurer's Office

Financial Education & Empowerment Programs

Room 550 A&B

Women in Finance: Creating a Pipeline to Attract More Women to the Field

In 2016, the Massachusetts Treasurer's Office created a groundbreaking program to attract and empower women interested in careers in finance through a fellowship that provides mentoring, skills training, career advising, and networking opportunities. Learn about the success and challenges of this fellowship, including how it became a public-private partnership, the impact of the gender gap in finance fields, and why these and other issues need to be addressed.

Moderator: Alayna Van Tassel, Deputy Treasurer and Executive Director of the Office of Economic Empowerment, Massachusetts State Treasury

Panelists:

- Treasurer Deborah Goldberg (MA)
- Sue Perez, Deputy Treasurer, Massachusetts State Treasury
- Jane Steinmetz, Office of the Managing Principal, EY Boston

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Innovations & Trends in the Treasury
Preview of the 2019 National Institute of Public Finance

Room 554 A&B

Have you registered for the National Institute of Public Finance presented by the NAST Foundation and hosted by Pepperdine University's Graziadio Business School? Whether you are attending or thinking about it, join faculty and alumni as they share their past experiences and answer your questions. Certificates are available in three core areas: Investments and Pensions, Public Finance and Debt Management, and Executive Treasury Management. This interactive panel will kick off the July 14-17 event in Malibu, CA. For more information, go to www.nipf.org/
Moderator: Melissa Waller, NIPF Executive Program Director

Panelists:

- Charles Dufresne, Co-Founder, American Public Infrastructure, LLC
- Andrea Feirstein, Managing Director, AKF Consulting Group
- Brant Maller, Founder & CEO, AIF Global
- Hector Negroni, CEO & CIO, Fundamental Advisors
- Treasurer Beth Pearce (VT)
- Michael Shires, Associate Dean for Strategy and Special Projects, Pepperdine School of Public Policy

Investments & Cash Management
Incident Response: Your Questions Answered

Room 552 A&B

Our expert panel from the first session returns to answer your most pressing questions about incident response and cybersecurity. Audience participation is requested, so come prepared with questions to learn and take back to your organizations.
Moderator: Treasurer Sarah Godlewski (WI)

State Debt Issuance
How to Get the Best Structure & Pricing in Today's Market

Room 551 A&B

The municipal bond market has experienced several notable shifts since tax reform was implemented in 2018, and as a result of domestic and global economic factors. Some key investor groups have continued to show strong support for municipal debt programs, while others have moved to alternative sectors. Investor demand continues to change and they continue to analyze the market and structure their deals for best price execution. Furthermore, volatility has re-emerged as uncertainty regarding interest rates, international trade restrictions, and other factors have given investors a reason for caution. This panel will discuss notable developments and their likely impact on municipal borrowers for the coming year, including investor preferences, issuer financing needs, and how to match the two together.
Moderator: Mark Pascarella, Director of Finance, Indiana Finance Authority

Panelists:

- Jessica Cameron Mitchell, PFM Financial Advisors LLC
- Kate Chanoux, VP, Senior Municipal Analyst, Eaton Vance Management
- Brendan Troy, Managing Director, Bank of America Merrill Lynch

Unclaimed Property
State of the States, Part 2

Ballroom B&C

Part 2 of this expanded session will provide ample time for delegation leaders to continue introducing their teams and talking about their programs' current accomplishments and opportunities.

Moderators:

- Mark William Bracken, Assistant Treasurer, Commonwealth of Massachusetts
- Auditor Andrea Lea (AR), NAUPA President & Arkansas Auditor of State

11:15 - 11:30 AM – Break

Ballroom Foyer

11:30 AM - 12:30 PM

ABLE Savings Plans
Teamwork Makes the Dream Work: How Partnerships can Elevate Your ABLE Program

Room 553 A&B

Every state has vast networks of organizations and government agencies supporting people with disabilities. By partnering with these groups and building off the work they've already done, ABLE programs can get a larger ROI out of their limited budgets. This panel will discuss what these networks look like and how to tap into them, and will also share success stories. Participants will leave with concrete steps they can take with their own outreach efforts.

Moderator: Kaellen Hessel, Advocacy/Outreach Manager, Oregon Savings Network

Panelists:

- Brittany Chipley, Executive Director, AZ ABLE
- Michael Morris, Executive Director, National Disability Institute
- Diane Stewart, Enable Outreach Specialist, First National Bank

A Smoother Path to Education: Everything You've Always Wanted to Know About Glidepaths and Other Investment-type Stuff

In this session, our panel of experts will explore the optimal portfolios for a 529 plan's investment lineup, focusing on the benefits of year-of-enrollment portfolios. Our panelists will compare and contrast year-of-enrollment portfolios with age-based portfolios, discuss portfolio construction and the practical implementation of a "set it and forget it" investment option. This session will round out with a discussion of the latest trends and innovative concepts in portfolio construction and include a discussion on investment options for the K-12 investor.

Moderator: Mary Anne Busse, Managing Director, Great Disclosure LLC

Panelists:

- Stephen DiGirolamo, Managing Director, Wilshire Consulting
- Ryan Harvey, Principal, Sellwood Consulting LLC
- Tiffany Spudich, Chief Client Officer, Captial Cities, LLC

Financial Education & Empowerment Programs

Room 550 A&B

Financial Literacy in the Third Space: Engaging Afterschool Learners

Afterschool is sometimes called the "third space" - a learning space that spans the critical time between school and home. In 2019, the Vermont State Treasurer's Office launched VerMoney, a first-of-its-kind financial-literacy curriculum to engage learners in the play-based educational space of an afterschool program. Learn about how government finance professionals formed partnerships with afterschool experts (Vermont Afterschool, Inc.) and private sponsors to develop and pilot VerMoney. Participants will gain insights into curriculum development, structure, and models for other states.

Panelists:

- Dylan Giambatista, Director of Financial Literacy and Outreach, Vermont State Treasurer's Office
- Holly Morehouse, PhD, Executive Director, Vermont Afterschool
- Erik Peterson, Vice President of Policy, Afterschool Alliance



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General Secretary-Treasurer

Innovations & Trends in the Treasury
Diversity as a Value Proposition

Room 554 A&B

Despite compelling evidence that the diversity of corporate boards is strongly correlated with financial performance, many corporate boardrooms lack diversity. This panel will explore the investment case for diversity and provide case studies of how State Treasurers can promote corporate diversity.

Moderator: Kelly Rogers, Deputy Treasurer of Policy and Public Finance, Office of the Rhode Island General Treasurer Seth Magaziner

Panelists:

- Suzanne Gauron, Managing Director, Investment Management Division, Goldman Sachs
- Charlotte Laurent-Ottomane, Executive Director, Thirty Percent Coalition
- Gianna McCarthy, Director of Corporate Governance, New York State Common Retirement Fund

Investments & Cash Management
Fraud Prevention and Improper Payments

Room 552 A&B

Fraudsters are consistently looking for opportunities to exploit payment systems. An industry veteran reviews an array of mechanisms that corporate Treasury and accounting practitioners may utilize in order to minimize risk and prevent fraudulent payments. This includes how corporations and FI's are leveraging tools such as Early Warning Services, use of Artificial Intelligence, and industrial-strength fraud filters, as well as common-sense procedures. As originators of payments are under attack, we will analyze how they operate and how they are being enhanced in order to help reduce the risk of payments being fraudulently misdirected. Learn more about how Early Warning Services are being utilized to guard against attacks.

Moderator: Erhiza Rivera, Assistant Treasurer, Washington State Treasurer's Office

Panelist:

- Steven Bernstein, Executive Director, Treasury Services, J.P. Morgan

State Debt Issuance
Time for a Check-Up: Examining the Health of the Tax-Exempt Market

Room 551 A&B

Market ups and downs got you feeling ill? Let's spend time examining the short- and longer-term health of the short-term and long-term tax-exempt market.

Moderator: Jonathan Azoff, Chief of Finance & Senior Counsel, Ohio State Treasurer

Panelists:

- Kevin Danckwerth, Managing Director, Co-Head of Municipal Bond Trading and Head of Municipal Derivatives Trading, Citigroup
- Amy Johonnett, Research Analyst, Fidelity Investments

Unclaimed Property
Everything You Wanted to Know About Legislation and Litigation

Ballroom B&C

The panel will give a year in review of legislative enactments and pending bills affecting unclaimed property interests and programs, highlighting trends and innovative ideas among the states. The panel will discuss recent and pending court decisions affecting unclaimed property interests and programs and how they affect the particular state(s) involved as well as potential effects for other states. This session presents an opportunity for attendees to become better informed about legislative and case law developments nationally and to learn from the ideas and experiences of others.

Moderator: Christina Merbedone-Byrd, Assistant Director, Unclaimed Property, West Virginia State Treasurer's Office

Panelists:

- Tim Cullen, Kelmar Associates LLC
- Suzanne Darling, Kelmar Associates LLC

12:30 - 1:15 PM

Networking Lunch

Ballroom A

Pick a color - but not any color. To provide a better networking experience, tables will be set up with different colored linens so you can find job-alike colleagues to talk with during meals. Whether you are an expert or new to the topic, find a table and join the conversations.

See page 3 for a key to the colors.

State of the Association Address and Acknowledgments:

- Shaun Snyder, NAST Executive Director
- Treasurer David Damschen (UT), NAST President
- Treasurer Kelly Mitchell (IN), NAST Secretary-Treasurer

Greetings:

- Paul Siefken, President & Chief Executive Officer, Fred Rogers Productions

1:30 - 2:30 PM

ABLE Savings Plans

Room 553 A&B

ABLE Investment Design - Did We Get it Right?

Tap into the experience of ABLE investment and program professionals to understand the structure of ABLE plan investment options today. Kicking off with an industry snapshot of current investment offerings and in-depth data on uptake and usage, panelists will share the thinking behind the initial investment option construction. Taking into account consumer feedback and current usage, the panel will then explore how plans meet the goals of account beneficiaries while identifying potential enhancements going forward.

Moderator: Andrea Feirstein, Managing Director, AKF Consulting Group

Panelists:

- Paul Curley, CFA, Director of Savings Research, Strategic Insight
- David Smith, CFA, Managing Partner, Marquette Associates
- Rob Percival, Senior Vice President, Ascensus Government Savings

College Savings Plans (529s)

Ballroom D&E

It's a Beautiful Day in Our Neighborhood: 529 Campaign Highlights and Plans for the Future

Hear from the 529 campaign team on the early rollout of the national awareness campaign. They'll present the creative and PR efforts, give an update on the early success, and show where the campaign can go in the future.

Moderator: Betty Lochner, 529 Campaign Coordinator

Panelists:

- Young Boozer, Chair, National 529 Campaign
- Dan Cooper, Creative Director, Bozell
- Christine Dunn, Project Manager, Bozell
- Jackie Miller, Chief Marketing Officer, Bozell
- Paul Siefken, President & Chief Executive Officer, Fred Rogers Productions
- Danny Wikowsky, Senior Account Executive, Bozell



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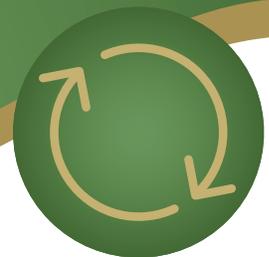
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Conference Program: Wednesday, May 22

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If you work in a NAST-dues-paying State Treasury office (or equivalent), you are entitled to all of the NAST member benefits. To ensure that members have access to these benefits, we encourage staff to use the member portal and ensure your contact information is up-to-date. There is no extra per-person charge beyond the state office dues.

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The National Student Loan Crisis: What Is a Treasurer to do?

As student loan debt becomes increasingly burdensome for borrowers, states are taking action to strengthen consumer protections for student-loan borrowers. This panel will focus on the scope of the national student-loan crisis, the risks to individual student-loan borrowers, and actions states can take to protect student-loan borrowers from abuse.

Moderator: Treasurer Seth Magaziner (RI)

Panelists:

- Katherine Craven, Chief Administrative & Financial Officer, Babson College
- Seth Frotman, Executive Director, Student Borrower Protection Center
- Rodrigo Garcia, Deputy Treasurer & Chief Investment Officer, Illinois State Treasury
- Sarah Tammelleo, Assistant to the President, Research & Strategic Initiatives, American Federation of Teachers

Innovations & Trends in the Treasury

Learning the Importance of Grassroots at the Treasury

Have you ever considered building a coalition of diverse people from your state who are all interested in the same common cause? Building and utilizing relationships can be exactly what your team needs for successful initiatives, especially when there is little room in the budget for expensive resources. This session will discuss how the Kentucky State Treasury has created successful coalitions of people for several initiatives in the office, including the Financial Empowerment Coalition and the STABLE Kentucky Outreach Coalition.

Presenter:

- Samara Heavrin, STABLE Kentucky Director, Unclaimed Property Director, Kentucky State Treasury

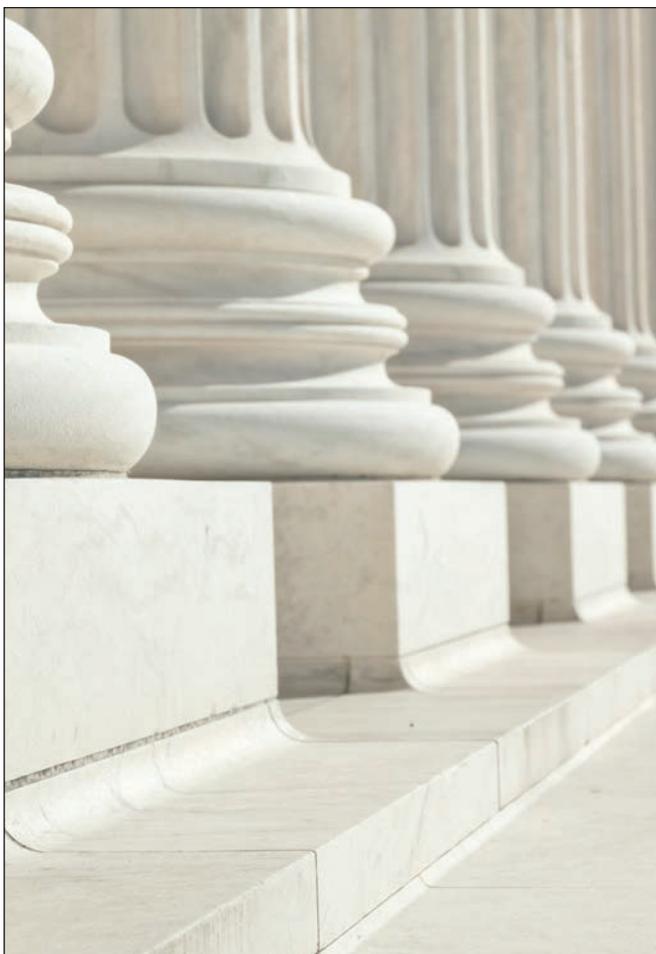
Investments & Cash Management

Working Alongside Investment Consultants and Managers

All internal treasury investment staff have the prowess and expertise to manage their respective short duration portfolios. The next logical question is why would staff want to utilize third-party organizations to assist in managing assets? This session will provide first hand insight from investment consultants and managers who work directly with treasury staff and how they can add exceptional value.

Panelists:

- Chris Fitze, CFA, Partner, Earnest Partners
- Bryce Lee, Managing Director-Investments, The Optimal Service Group
- John Ockerman, Investment Officer, Virginia Department of Treasury
- Andy Smock, CFA, CEO and CIO, Merganser



J.P. Morgan is proud to sponsor the NAST Treasury Management Training Symposium.

For information contact:

Mike Nevins, Industry Head

(518) 433-2531

michael.j.nevins@jpmorgan.com

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State Debt Issuance

Room 551 A&B

A Year Under the Belt: The Tax Cuts and Jobs Act's Impact on Issuers, the Market and the Economy

With the passage of the TCJA, the public finance community was left grappling with what this would mean for municipal bond structuring, investors, and the economic competitiveness for high-tax states. With the elimination of advance refundings, there was much discussion about shorter calls and forward delivery bonds while questions arose on how the cap on state and local tax deductions would impact government revenues. This panel will discuss what really happened in the year after the TCJA took affect and provide an overview of the estimated macroeconomic impacts of the TCJA on the U.S. economy and key industries.

Moderator: Marcy Block, Senior Director, Public Finance, Fitch Ratings

Panelists:

- Jeffrey Burger, Senior Portfolio Manager, US Municipal Bonds, BNY Mellon
- James Mackie, III, Co-director, Quantitative Economics and Statistics Group, Ernst and Young
- Treasurer Shawn Wooden (CT)

Unclaimed Property

Ballroom B&C

Cryptocurrency: Hurdles on the Horizon

Take a peek into the realm of cryptocurrency and its foreseeable challenges. Examine its parallels with traditional currencies and investments. Discuss and exchange high-level management approaches from the perspective of a state as custodian of an unclaimed cryptocurrency asset.

Moderator: Bradley Earl, Unclaimed Property Director, Arkansas Office of Auditor of State Andrea Lea

Panelists:

- Vilka Markovich, VP, Avenu Insights & Analytics
- Ted Tran, Unclaimed Securities Specialist, Avenu Insights & Analytics
- Brian Keogh, Business Development, Gemini Trust Company, LLC

2:30 - 2:45 PM – Break

Ballroom Foyer

2:45 - 3:45 PM

ABLE Savings Plans

Room 553 A&B

ABLE State of the States

Join your colleagues from around the nation in sharing the latest information, trends, and issues on ABLE programs during this highly interactive session. The results of the annual ABLE Program survey will be discussed. Session attendees will be asked to share what's new, what's working, what's not working, and problems they have faced and their solutions. This is your chance to not only hear and learn from your colleagues but also to have constructive debate about different programs' approaches.

Moderator: Dante Allen, Executive Director, CalABLE

College Savings Plans (529s)

Ballroom D&E

What's on the Horizon -- Innovation Concepts Through Technology

Learn about what innovations in technology are on the horizon when engaging individuals. Panelists will share their information on providing efficient and informative customer experiences.

Moderator: Lael Oldmixon, Director, Education Trust of Alaska

Panelists:

- Amy Deora, Director of Public Sector Analytics, Civis Analytics
- Brian Krolicki, Director of Government Relations, Customer Engagement Technologies
- Mary Morris, Chief Executive Officer, Virginia529
- Emma Willis, Director 529 Programs and Financial Education, Arkansas State Treasury

Financial Education & Empowerment Programs

Room 550 A&B

Your States' Saving Crisis - More than 40 Percent of the Population Can't Pay for a \$400 Unexpected Bill. You Can Help Them!

America Saves provides the resources to support your states' residents to save effectively. The research-based campaign uses the principles of behavioral economics and social marketing to change behavior and develop savings habits that last a lifetime. America Saves has initiatives customized to the saving needs of adults, young workers (16-24 years), and service members and their families. Learn how government agencies have used America Saves to motivate and encourage hundreds of thousands committed savers set and achieve their savings goals.

Presenter:

- George Barany, Director, America Saves

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Thinking About Secure Choice? Here's What You Need to Know

Sharpen your knowledge with current information on the state-run retirement program landscape. Beginning with an overview of current models in the market, this panel of industry leaders will share early experiences with legislation and rulemaking, administrative considerations and challenges, and opportunities for outreach. Collectively, they will provide a framework for best practices in creating and launching a program while answering the typical questions asked by states considering these initiatives.

Moderator: Andrea Feirstein, Managing Director, AKF Consulting Group

Panelists:

- Courtney Eccles, Director of Secure Choice, Office of the Illinois State Treasurer
- Lisa A. Massena, CFA, Vice President, Government Savings, Ascensus, Inc.
- Michael Parker, Executive Director, Office of the Oregon State Treasurer
- Katie Selenski, Executive Director, California Secure Choice Retirement Savings Board

Investments & Cash Management**State Banks and Cannabis Banking**

Hear how states and cities have explored or are exploring the possibility of a public/state/city/municipal-owned bank to finance infrastructure, bank cannabis sales, and do consumer/business lending. Is it feasible?

Panelists:

- Andrew Boettcher, Administrator, Office of Financial Services, Michigan Department of Treasury
- Catherine Mele-Hetter, Director of Policy and Legal Counsel, Washington State Treasurer's Office
- Jason Richter, Deputy Treasurer-Debt Management, Washington State Treasurer's Office

State Debt Issuance**SDMN Meet & Greet**

Join us for an open, free-flowing forum for those involved in debt issuance and management activities to meet colleagues and learn about new initiatives and plans for the State Debt Management Network. Be a part of the discussion and help us promote our goal of SDMN serving as the go-to authority on the professional management of state-level debt.

Hosted by the Board of SDMN .

Unclaimed Property**Identity Fraud: Detection and Prevention in a Dark Web World**

With the prevalence of personally identifiable information (PII) available to fraudsters, identity-management methods have had to evolve. The panel will discuss how administrators can defeat the most advanced threats with the integration of modern techniques using alternate verification methods, while reducing staff time spent on unnecessary manual processes and improving the claimant's experience. The panel will discuss industry trends, the impact of the dark web, and strategies to prevent identity-related schemes like impersonation or synthetic identity. They will also discuss examples of recent fraudulent-claim activity and documentation that states have received and what procedures can be taken to minimize the chances of paying the wrong claimant.

Moderator: Lammis Vagas, Director, Rhode Island Unclaimed Property

Panelists:

- Larry Benson, Director Strategic Alliances, LexisNexis Risk Solutions
- JJ Harkema, Government Identity Consultant, Experian
- Ken Wagers, Vice President, Kelmar Associates LLC

4:00 - 5:00 PM

Deputy Treasurer & Chief of Staff Meeting (Invitation Only)

In lieu of the monthly conference call, State Treasury Office Deputies/Chiefs of Staff will meet to continue their ongoing conversations. This meeting is open to senior level/executive staff who report to the state treasurer.

Moderator:

Kelly Rogers, Deputy Treasurer of Policy and Public Finance, Office of the Rhode Island General Treasurer Seth Magaziner



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6:00 - 9:00 PM

Taste of Rhode Island Buffet Dinner

Join conference attendees on the Rhode Island State House lawn and enjoy local food and beverages at a buffet dinner hosted by Treasurer Seth Magaziner. Name badges must be worn for this event, including the tours.

State House guided tours will be available at 6:15, 6:45 and 7:15 pm, so come early and learn more about the historic property built between 1896 and 1904. The dome of the State House is the fourth-largest self-supporting marble dome in the world. Listed on the National Register of Historic Places, the facade has served as a stand-in for the US Capitol in various movies.

Attendees will have the opportunity to sample classic Rhode Island treats, including a raw bar, lobster rolls, and the famous Del's Frozen Lemonade. Guests will also enjoy listening to GQ and the Lady, a musically diverse dance band, featuring one of the area's most versatile and dynamic lead female vocalists. Wear your dancing shoes and bring a sweater, since breezes come up quickly in the evenings.

The State House is a five-minute walk from the Omni. From the hotel, take a left out of the lobby door onto West Exchange Street. Cross Francis Street, and, once across, take a left (you will still be on Francis Street), continue north and the State House is .2 miles straight ahead.

See page 3 for a walking map.

While we encourage you to take a stroll along the charming streets of Providence, trolley service is also provided. The first trolley will depart from the Omni hotel lobby at 5:50 PM.

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A Union of Professionals

The **American Federation of Teachers** and the **Rhode Island Federation of Teachers and Healthcare Professionals** welcome you to Providence! We salute your dedication to guiding your states' fiscal affairs that support the public services that all Americans rely on.

Together, we stand with you to champion **great neighborhood schools, good jobs** that support a middle-class life, **affordable healthcare** for all, the **fight against discrimination and hate**, and the **defense of democracy**.

Randi Weingarten
PRESIDENT

Loretta Johnson
SECRETARY-TREASURER

Mary Cathryn Ricker
EXECUTIVE VICE PRESIDENT



Thursday, May 23

7:15 AM - 4:30 PM

Registration

Ballroom Foyer

7:30 - 8:15 AM

Networking Breakfast

Ballroom A

Pick a color - but not any color. To provide a better networking experience, tables will be set up with different colored linens so you can find job-alike colleagues to talk with during meals. Whether you are an expert or new to the topic, find a table and join the conversations.

See page 3 for a key to the colors.

CSPN New Attendee Breakfast (Invitation Only)

Room 555 B

Unclaimed Property Attendee Breakfast (Invitation Only)

Room 556 A&B

8:30 - 9:30 AM

Joint Session: ABLE Savings Plans & College Savings Plans (529s)

Ballroom D&E

Leveraging Earned Media & Public Relations to Enhance the Visibility & Awareness of State 529 and ABLE Plans

With more than 20 years since Congress's creation of 529 college savings plans, and the more recent launch of ABLE Plans, what are state plans doing to remain relevant in the media? The decline of available media outlets and column inches has forced programs to be more innovative in their PR efforts. Panelists will discuss best practices, including: outreach to non-traditional media, community engagement and activation, contacting reporters via social media, looking beyond the press release to deliver news, and real-time 24/7 monitoring of editorial coverage. The panel will also share success stories and lessons learned to help improve media and public relations efforts in your market (large or small). Learn how PR and media relations can be integrated with traditional and digital marketing efforts for a holistic strategic marketing approach.

Moderator: Rachel Biar, Deputy Treasurer, Nebraska State Treasury

Panelists:

- Amy Corbin, Executive Director, INvestABLE Indiana
- Brian Meehan, President, Celtic, Inc.
- Adam Robles, Associate Vice President, Finn Partners
- Terry Severson, Director, Savings Plans Marketing, Enable Savings Plan

Financial Education & Empowerment Programs

Room 550 A&B

No More Excuses: Time for an Employee Financial Wellness (Pay)Check-Up

Financial education is like flossing and daily exercise. Everyone agrees it's important, but few of us actually do it. The Center for State and Local Government Excellence and Wells Fargo's Foundation are working to understand why and provide information and solutions to help you respond to the problem. Join this session to discuss new survey results and recommendations, hear one state's experience offering a financial wellness program, and provide input on questions State Treasurers have about adoption and implementation of these programs.

Moderator: Treasurer Henry Beck (ME)

Panelists:

- Adam Chapdelaine, Town Manager, Town of Arlington, MA
- Rivka Liss-Levinson, Director of Research, Center for State and Local Government Excellence
- Bonnie Wallace, Channel Manager, Hands On Banking, Wells Fargo

Innovations & Trends in the Treasury

Room 554 A&B

Training on Suspicious Packages, Substances, Email and Phone Threats

In this session, Treasurers and their staffs will learn practical guidelines and procedures from the Rhode Island State Police on how to handle suspicious packages, substances, email and phone threats. Indiana Treasurer Kelly Mitchell is passionate on this topic and will discuss how changes have been implemented in the Indiana Treasurer of State's office and how she continues to educate staff on possible situations and threats to promote a safe working environment.

Moderator: Treasurer Kelly Mitchell (IN), NAST Secretary-Treasurer

Panelist:

- Captain Derek Borek, Director of Training for the Rhode Island State Police

Investments & Cash Management

Room 552 A&B

Qualified Institutional Buyers: How Can You Qualify and What Are the Benefits?

This session will cover the 4(2) and 3(a)(3) exemptions, including which CP programs rely on each exemption, why not qualifying as QIBs impacts us, why State Treasuries were left out to begin with, and what to do next.

Panelists:

- Marty Margolis, Partner, PFM Group
- John Ockerman, Investment Officer, Commonwealth of Virginia, Department of the Treasury

State Debt Issuance

Room 551 A&B

Bye-Bye PDF, Hello iXBRL: Debt Management Technology Modernization

While the private sector has moved towards more rapid digitization of financial statements, state government debt and fiscal divisions are often stuck in the land of Excel, PDFs, and outdated software. This panel will provide an overview of the national movement to digitize public finance documents, including comprehensive annual financial reports and bond offering materials into iXBRL format, a more appealing format for municipal market investors. Panelists will discuss current state efforts to improve debt-management technology platforms, including solutions to common implementation barriers.

Moderator: Kelly Rogers, Deputy Treasurer of Policy and Public Finance, Office of the Rhode Island General Treasurer Seth Magaziner

Panelists:

- Mark Campbell, Executive Director, California Debt and Investment Advisory Commission
- Marc Joffe, Senior Policy Analyst, The Reason Foundation
- Dean Ritz, Senior Director of Digital Reporting Strategy, Workiva
- Kirt Slauch, Deputy Treasury, Utah Treasury

Unclaimed Property

Ballroom B&C

Reuniting Owners with Unclaimed Property Takes a Village: The Public-Private Partnership

Reuniting owners with their property, or asset recovery, is exploding as an unclaimed-property service offering among professional services firms. This session will explore how State Treasury and Unclaimed Property departments can work side-by-side with professional firms to reunite business owners and individuals with their money. The panel will touch on the difference between recovering property for existing clients vs. the stigma of traditional heir finding; how the recovery business wants to create more consistent and consolidated claims packaging to decrease per-claim administrative costs; and how states can enhance their public-service offerings. The panel will also discuss recent management changes and updates to the missingmoney.com site used by owners for unclaimed-property searches.

Moderator: Treasurer Michael Frerichs (IL)

Panelists:

- Darren Jack, Executive Director, EY
- David Lemoine, Director, Avenue Insights & Analytics, LLC
- Aurianne Lopatka, Senior Manager, EY

9:30 - 9:45 AM – Break

Ballroom Foyer

9:45 - 10:45 AM

ABLE Savings Plans

Room 553 A&B

How to Make a Big Impact for ABLE

Some states face challenges promoting their ABLE program to their constituents due to their lean budgets, staffing constraints, and limited marketing materials. Hear from a panel of states, with limited ABLE resources and/or smaller populations, as they discuss these obstacles, their efforts to overcome them, and opportunities for success.

Moderator: Treasurer Bill Dwyer (NH)

Panelists:

- Anita Kelley, Director, Savings Division, Alabama State Treasury
- Chuck Saia, Executive Director, NH Governor's Commission on Disability
- Brenda Snyder, Director of Financial Literacy, Washington State Treasury
- Emma Willis, Executive Director 529 Programs and Financial Education, Arkansas State Treasury

College Savings Plans (529s)

Ballroom D&E

Is There a Bright Side to the Student Loan Debt Problem for 529 Programs?

Current U.S. student debt is an astonishing \$1.5 trillion -- surpassing credit card debt. Is this burdensome debt load making it impossible for parents (or even grandparents) to save for the next generation, or can it motivate them to save sooner and more? The panel will discuss who the borrowers are and how student debt is impacting the economy and borrowers' behavior, particularly their saving for college. They will explore some of the public and private efforts being made to address the problem. And, then discuss whether there are ways to leverage the problem to increase awareness of and participation in 529 Programs - helping to break the spiraling cycle of student debt.

Moderator: Kathleen McGrath, Director, KF McGrath LLC

Panelists:

- Scott Giles, President & CEO, Vermont Student Assistance Corporation
- Mark Kantrowitz, Publisher and VP of Research, Savingforcollege.com
- Kenneth Roberts, Partner, Hawkins, Delafield & Wood, LLP

Learn how a multi-sector coalition has come together to form a public/private/nonprofit partnership to elevate K-12 financial literacy in the State of Rhode Island. With leadership from the State Treasurer and key legislators, critical guidance and direction from education professionals, expertise and resources from the private sector, and the articulate voice of students, the state is now poised to pass needed legislation and mobilize diverse stakeholders to provide young people the financial knowledge and skills they need to succeed.

Moderator: Rick Metters, Vice President, RI Regional Public Affairs, Fidelity Investments

Panelists:

- Honorable Sandra Cano, State Senator, State of Rhode Island
- Yanelly Espinal, Director of Educational Outreach, NextGen Personal Finance
- Patricia Page, Business and Computer Technology Educator, Town of East Greenwich, RI

With current technical capabilities, the Treasury and other state agencies can now make instant payments, and receive them faster. "Real-Time Payments" (or RTP®) process instant gross settlements 24/7/365 between participating banks and businesses/entities. This innovative method reduces collection time from days to seconds. RTPs are gaining ground in the US and globally, driven by consumer demand for speed and convenience. While this conversation has mostly centered on the technology and technical portions, the operational aspects and necessary changes to realize instant payments is something that now requires consideration. Is your state onboard? Instant payments can benefit financial institutions, state and local agencies, and consumers, by offering enhanced security and visibility of payments, and by enabling better cash-flow management. Join the panel of experts to learn more.

Moderator: Mark Hariri, Director, Centralized Treasury and Securities Management Division, California State Treasurer's Office

Panelists:

- David Belchick, Vice President, Market Manager, Public Sector, Citibank
- Mary O'Toole, Director, Global Transaction Services, Bank of America Merrill Lynch
- Eileen Roberts, Executive Director, Treasury Services, J.P. Morgan
- Carl Slabicki, CTP, AAP, Director and Product Line Manager, Immediate Payments, BNY Mellon Treasury Services



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Short-Term Investments

The world of cash management has changed forever. This session takes a fresh look at short-term investment policies, sectors to consider, and the market risks in order to find value utilizing lessons from the past to preserve capital in the future.

Panelists:

- John Donohue, Managing Director- Head of Global Liquidity Management, RBC Global Asset Management
- Jim Rosenkoetter, Senior Portfolio Manager, Washington State Treasury

State Debt Issuance**Making Investor Interactions Work for You**

Investors increasingly expect quick and easy access to municipal issuers' investor information and issuers themselves. The public markets are constantly evolving to seek better and more current information on their municipal bond investments. Many prominent bond issuers have sought to establish a direct relationship with these investors in order to facilitate communication and promote their credit. In addition, regulatory requirements such as SEC Rules 15c2-12 continuing disclosure requirements present additional challenges for issuers to effectively disseminate information. Key leaders in the municipal market will discuss the most recent trends and developments in establishing and maintaining an investor-relations program.

Moderator: Dave Erdman, Capital Finance Director, State of Wisconsin

Panelists:

- Neil Flanigan, Joint Head of Municipal Finance, Jefferies, LLC
- Tom Paolicelli, Senior Manager, Business Development, BondLink
- Greg Schwartz, SVP, Piper Jaffray & Co

Unclaimed Property**UCP Legal Trends and Overviews**

Unclaimed property legal professionals will present an overview of the most compelling issues and emerging legal trends impacting state unclaimed-property programs.

Moderator: Kevin Liang, General Counsel, Arkansas Auditor's Office

Panelists:

- Charles Hellman, Executive Vice President, Verus Analytics LLC
- Lynden Lyman, Executive Consultant, Kelmar Associates LLC
- Oliver Olanoff, Chief Operating Officer and General Counsel, EECS LLC

10:45 - 11:00 AM – Break

Ballroom Foyer

11:00 - 12:00 PM

Joint Session: ABLE Savings Plans & College Savings Plans (529s)**Diverse US Demographics: Outreach in an Evolving Landscape**

This panel will address outreach to diverse audiences by both 529 and ABLE programs. What are some programs doing to reach out to diverse audiences? Why does it matter? What does this outreach look like? What guidance, if any, is there from the MSRB to help programs? What makes sense and what's practicable? How is ABLE bringing a new perspective to diversity and outreach?

Moderator: Jamie Canup, Partner, Hirschler Fleischer

Panelists:

- David Bell, Deputy Director, Oregon Savings Network
- Richard Ellis, Senior Director for Compliance, Finance and Investments, my529
- Doug Harrison, Senior Director, TIAA Tuition Financing, Inc.

Financial Education & Empowerment Programs**Building Youth Financial Capability and Financial Well-Being through Evidence & Practice**

The Consumer Financial Protection Bureau provides resources for advancing youth financial education in order to improve practices and support policymakers and practitioners in the field of financial education. The Bureau offers a comprehensive literature review of financial education, focusing on studies that show the causal effects of financial education on financial behaviors, test scores, or other outcomes supporting consumer financial development. In conjunction with the literature review, the Bureau offers a set of youth financial-education research priorities to encourage researchers to initiate projects where there are gaps in existing evidence. The Bureau collaborates with others, including the Federal Deposit Insurance Corporation (FDIC) on these initiatives. The FDIC offers strategies and tools to connect financial-education initiatives to opportunities for schoolchildren to open a safe, low-cost savings account, including those that have been validated through a two-year research pilot. The FDIC also leads the Youth Banking Network to help financial institutions connect financial-education tools to savings accounts for school-aged children. This panel will explore both the review and research priorities and how they can be used in Treasurers' offices.

Moderator: Treasurer Sarah Godlewski (WI)

Panelists:

- Lissan Anfune, Policy and Program Specialist, Office of Community Affairs, Consumer Financial Protection Bureau
- Dubis Correal, Deputy Assistant Director for the Office of Financial Education, Consumer Financial Protection Bureau
- Luke Reynolds, Chief of Outreach and Program Development, Federal Deposit Insurance Corporation



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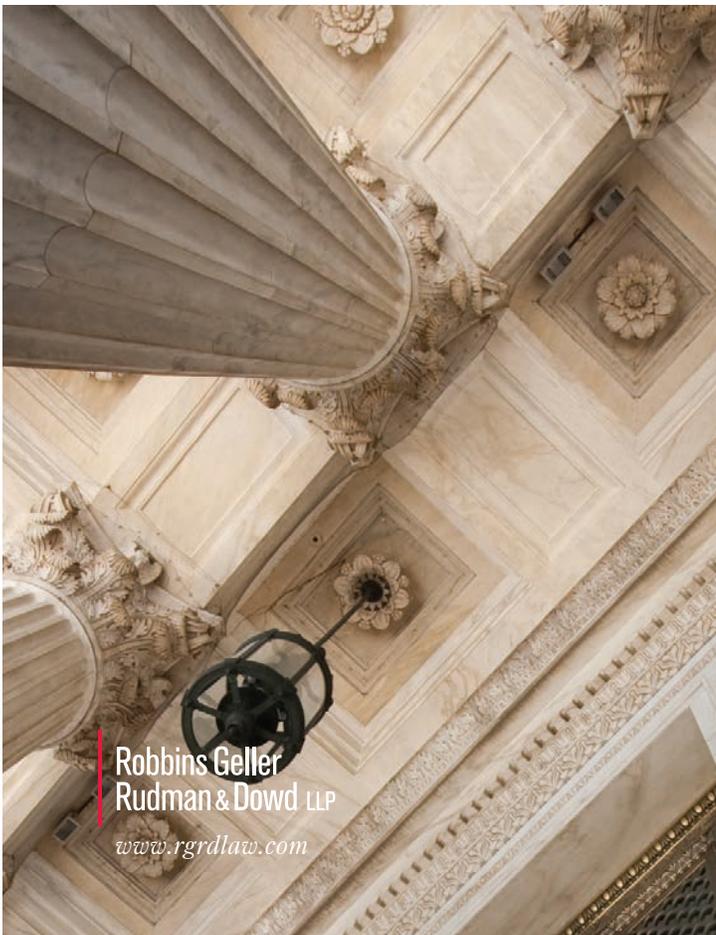
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Can Blockchain and Artificial Intelligence Assist State Treasuries in Achieving Investment Objectives?

This session will cover the accelerated changes already impacting the world (and governments) due to artificial intelligence (AI) and other technological advances. Adapting to these changes has been an ongoing challenge for the public and private sectors. A recent study of investment executives showed that they are acutely concerned about their ability to achieve future growth objectives. Emerging technologies, such as blockchain and AI, are being recognized as essential components to achieve growth. Presenters will share fact-based insights from institutional investors about how blockchain and AI may be used in transformational ways to enhance investment outcomes, and how they will dramatically change things for both individuals and governments going forward.

Moderator: Bill Collins, SVP/Head of Government Banking, U.S. Bank

Panelists:

- Vipul Kaushal, Group Product Manager - Digital Transformation, Global Treasury Management, U.S. Bank
- Nathaniel Rand, Managing Director, State Street

Investments & Cash Management

Room 552 A&B

Improving the Internal Discipline of Cash Forecasting

Learn how colleagues are – through better information, new technology, enhanced automation, and changed assumptions – making strides improving their daily cash forecasting, leading to process efficiencies, more informed decisions, and opportunities for additional investment return.

Moderator: Stuart Goodpasture, Manager, Cash and Banking, Virginia Department of the Treasury

Panelists:

- Kerri Baker, Chief Budget Officer, RI Executive Office of Health and Human Services
- Amanda Hutson, Portfolio Manager, Washington State Treasurer's Office

State Debt Issuance

Room 551 A&B

Demographic Changes and the Impact on Economics, Ratings, and Investing

By 2026, 17 states will be “super-aged,” meaning more than 20 percent of the population will be 65 or older; currently, no state meets that definition. Demographic change is accelerating in the US, particularly as the baby boomer population moves into retirement age. This panel will discuss implications for states’ economic growth, and, more importantly for debt managers, on credit ratings and investor sentiment.

Moderator: Treasurer Henry Beck (ME)

Panelists:

- Rob Azrin, VP, Credit Analyst, Breckinridge Capital Advisors
- Paul Dion, Chief Revenue Officer, Rhode Island Office of Revenue Analysis
- Olu Sonola, Group Credit Officer, U.S. Public Finance, Fitch Ratings

Unclaimed Property

Ballroom B&C

Marketing & Media Relations

Learn from the experts and discuss with your peers how to market your unclaimed-property program successes, manage your media relations, and understand how to evaluate your earned media in this session.

Moderator: Skot Covert, Chief of Staff, Arkansas Office of Auditor of State Andrea Lea

Panelists:

- Paris Ervin, Communications Director, Illinois State Treasurer's Office
- Tom Garden, Manager Special Programs Division, Washington State Department of Revenue
- Al LaPerle, Director of UCP, Vermont State Treasurer's Office
- Brenda Mayrack, State Escheator, Delaware Department of Finance
- Susan O'Brien, Director of Communications, Comptroller of Maryland
- Jason Sobel, Esq., Manager, Comptroller of Maryland

12:00 - 1:15 PM

Networking Lunch and Keynote Address

Ballroom A

Introduction: Treasurer Seth Magaziner (RI)

Keynote Speaker: Governor Gina Raimondo (RI)

“Impactful Reform and Thoughtful Leadership”

Governor Raimondo will discuss her experience as General Treasurer and Governor, and how she believes leaders can work to implement impactful, meaningful reform.

See Governor Raimondo's bio on page 38.



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1:30 - 2:30 PM

ABLE Savings Plans

Room 553 A&B

Managing Fraud in State-Sponsored Savings Programs

As plans embrace online and mobile account openings and transactions to meet consumer demand for convenience, opportunities for fraud increase in the new digital environment. This session will examine emerging fraud trends in the marketplace and will discuss best practices and innovations that plans can use to safeguard plan participants and mitigate the risk of fraud within their programs.

Moderator: Jim Balsan, Chief Operating Officer, The Bank of New York Mellon - Sunday Administration

Panelists:

- Nicholas Bavaro, Vice President, Transaction Surveillance, The Bank of New York Mellon
- Joanne Kane, Director, Operations & Transfer Agency, Investment Company Institute

College Savings Plans (529s)

Ballroom D&E

Children's Savings Accounts, Pilot Programs, and Partnerships: Encouraging Low- and Middle-Income Families to Save for College

Join this panel of savings and education experts as they share success stories of empowering low- and middle-income families to save for college. Beginning with a landscape view of CSAs nationwide, this session will highlight the lessons learned about best practices and key choices in implementing new initiatives. It will address the narrative necessary to engage both families and resources, connecting asset-building opportunities with 529 plans. Ultimately, the audience will learn why sustainable relationships and institutional partnerships can yield greater investments of community resources into a 529 college savings account.

Moderator: Andrea Feirstein, Managing Director, AKF Consulting

Panelists:

- Charles F. Desmond, Chief Executive Officer, Inversant
- Clint Kugler, College Career and Community Initiatives Vice President, Indiana Youth Institute
- Alayna Van Tassel, Deputy Treasurer and Executive Director of the Office of Economic Empowerment, Massachusetts State Treasury

Financial Education & Empowerment Programs

Room 550 A&B

Starting Strong: Using Higher-Education Financing to Teach Financial Competencies

Paying for college is the first major expense for many young adults; it's also an exceptional opportunity to educate young consumers and assist them in developing financial competencies that will last a lifetime. Representatives of state-based, nonprofit organizations will share their innovative financial wellness programs and how they are helping the citizens in their states to successfully manage student-loan debt.

Moderator: Jeanette Weldon, Executive Director, Connecticut Higher Education Supplemental Loan Authority

Panelists:

- Bill Bianchi, Financial Literacy Manager, Rhode Island Student Loan Authority
- Scott Giles, President & CEO, Vermont Student Assistance Corporation
- Thomas Graf, Executive Director, Massachusetts Educational Financing Authority

Innovations & Trends in the Treasury

Room 554 A&B

All Treasury Tech Considered

Join the discussion of highly actionable ways to address financial automation that can create momentum to tackle the strategic initiatives of your organization. Start with low-cost, low-resource technologies, such as RPA and APIs, so your organization can gain some quick hits, both freeing up time and starting to cultivate a data-centric mindset. Are you exploring ways to digitize onboarding and other laborious processes, including KYC and changing/adding signatories? This transformation goes beyond simply creating electronic copies of paper documents. It's about making these processes digital from the outset, so that banks can help clients benefit from predictive analytics. And finally, with the coming of all the smart devices that fall into the "Internet of Things (IOT)" category, hear what impacts may occur and what benefits may be realized by Treasurers with new data and data classes.

Moderator: Treasurer Josh Haeder (SD)

Panelists:

- Michael Bosacco, Director, Treasury Advisory Executive, Bank of America
- Chris Chazin, Director, North America Product Head, Emerging Receivables and Instant Payments, Citi Treasury & Trade Solutions
- Seth Marlowe, SVP, Strategist, Insights Consulting Group, Treasury, Merchant & Payment Solutions, Wells Fargo Bank

Investments & Cash Management

Room 552 A&B

LIBOR Transition: Where Is the Market SOFR?

LIBOR is the most widely used benchmark and, currently, underpins more than \$370 trillion of securities globally. By regulatory decree, LIBOR will be phased out by December 2021, a decision that will have significant impact on global markets. SOFR, the Secured Overnight Financing Rate, is the likely successor to LIBOR and issuers are responding to this seismic market change by developing new SOFR-based debt securities. Representatives from FHLB and Fannie Mae will discuss their efforts to develop this new emerging market and JP Morgan will share views on the implications for investment portfolios.

Moderator: Denise de Bombelles, SVP, Global Investor Relations, Federal Home Loan Banks Office of Finance

Panelists:

- Kyle Lynch, Capital Markets Strategist, Federal Home Loan Banks Office of Finance
- Timothy Paster, Executive Director, Agency and Treasury Trading, J.P. Morgan
- Anthony Silva, Director, Capital Markets, Fannie Mae

The MSRB Listens: Town Hall with Members of the Municipal Securities Rulemaking Board

Join members of the Municipal Securities Rulemaking Board of Directors for a town hall meeting to provide an opportunity for Board members and MSRB staff to hear directly from municipal market participants. Share your perspective on: concerns about the municipal market; issues in day-to-day business operations; gaps in municipal market regulation; ways MSRB can encourage additional use and adoption of its EMMA® website; and additional MSRB resources to help facilitate positive change in the market. The MSRB Board has prioritized stakeholder engagement in 2019 to further inform the organization's current retrospective rule review and efforts to provide municipal securities dealers and municipal advisors with clear interpretations and guidance, and compliance resources. This town hall meeting will help ensure that the MSRB fulfills its mission in a manner that is highly informed about market practices.

Moderator: Janet Aylor, Director of Debt Manager, Virginia Department of the Treasury

Panelists:

- Renee Boicourt, Member of the MSRB Board of Directors
- Richard Ellis, Member of the MSRB Board of Directors
- Lynnette Kelly, President and CEO, MSRB
- Ritta McLaughlin, Chief Education Officer, MSRB

Unclaimed Property

Ballroom B&C

Securities: Can't Live With Them.... Can't Live Without Them

This session will touch upon three hot topics your state may currently be dealing with: worthless securities, consolidating securities accounts, and the roller-coaster ride of liquidating stock. First, the panel will review and discuss the challenges that come with determining when and if a security is worthless, will look at some tools that could be used to identify this category, and will address the process of communicating the agreed-upon definition of "worthless," as well as instructions for deferred reporting to the holder community. Next, New York State will present a case study on their efforts to consolidate securities held at mutual funds and transfer agents: what they have done, where they are going, and the challenges they have seen along the way. Finally, a case study will be presented showing liquidation securities trends amongst KAPS user states: when they do it, how they do it, and the pros and cons associated with their actions.

Moderator: Jeff Robertson, Administrator of Unclaimed Property, Wyoming State Treasurer's Office

Panelists:

- Vilka Markovich, VP, Avenu Insights & Analytics
- Marisol Pereira-Salazar, Principal Abandoned Property Auditor, Office of the New York State Comptroller
- Margaret Wagner, Client Service Analyst, Finance & Revenue Solutions, Avenu Insights & Analytics
- Tanya Whitlow, Managing Director, Kelmar Associates, LLC

2:30 - 2:45 PM – Break

Ballroom Foyer

**Unclaimed Property Compliance Solutions**

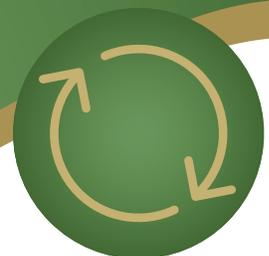
Unclaimed property reporting is a complex task. Despite the greatly increased awareness of the obligation to report unclaimed property, many companies still fail to maintain proper compliance.

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member.nast.org

2:45 - 3:45 PM

ABLE Savings Plans Using Special Needs Trusts with ABLE Accounts

Room 553 A&B

ABLE plan accounts and special needs trusts are the two key vehicles for individuals with disabilities to save without impacting their needs-based benefits. This session will offer a primer on special needs trusts, compare and contrast special needs trusts with ABLE accounts and provide administrators with a better understanding of how potential ABLE account owners can use both effectively.

Moderator: Mary Anne Busse, Managing Director, Great Disclosure, LLC

Panelists:

- Nancy Chudacoff, Of Counsel, Cameron & Mittleman, LLP
- Kevin Nerney, Executive Director, RI Developmental Disabilities Council

College Savings Plans (529s) Unleashing the Power of Partnerships - Broadening the Reach of 529 Plans

Ballroom D&E

Most parents have heard the saying, "It takes a village to raise a child." In this session, participants will see that "it takes a community to plan, navigate, and finance a child's higher-education dreams." Hear from community partners that have implemented innovative tools to help states broaden the reach of their 529 college savings plans in measurable ways, while giving students valuable and timely information to help them plan and navigate their higher-education aspirations. From access to education, 529 Plan administrators need to take a broader look at how today's families are responding to messaging and innovative technology solutions to augment saving, while leveraging partner-provided platforms and services to ensure their programs are meeting the needs and the goals of the families they serve.

Moderator: Julie Shields-Rutyna, Director of College Planning, Massachusetts Educational Financing Authority

Panelists:

- Fernando Diaz, Chief Financial Product Officer, Illinois State Treasury
- John Hupalo, Chief Executive Officer, Invite Education
- Wayne Weber, Chief Executive Officer, Gift of College
- Emma Willis, Director 529 Programs and Financial Education, Arkansas Treasurer of State

Investments & Cash Management How to Approach Sustainable or ESG Investing?

Room 552 A&B

What does sustainable investing mean to State Treasurers? How can a State Treasurer integrate environmental, social, and governance (ESG) factors into investment decisions? Learn how State Treasurers think about sustainable investing; what structures, resources, and coalitions they've deployed; and how they operationalize sustainable investing through three pillars: (1) internal management, (2) external management, and (3) active ownership.

Moderator: Lisa Woll, CEO, US SIF: The Forum for Sustainable and Responsible Investment

Panelists:

- Rodrigo Garcia, Deputy Treasurer & Chief Investment Officer, Illinois State Treasurer's Office
- Justo Gonzalez, Head of Global Liquidity Research, Invesco
- Keith Welks, Deputy State Treasurer for Fiscal Operations, Pennsylvania Treasury Department

State Debt Issuance Public-Private Partnerships: Lessons Learned and Implications for Infrastructure Investment

Room 551 A&B

PPPs are potential tools for state governments. The US market is at an inflection point with a supportive federal administration, sizable capital reportedly ready to be deployed, and states looking to address inadequate, aged infrastructure. During this session, debt managers will review case studies to learn about the successes and challenges of PPPs, and how they can help their states avoid the most likely pitfalls and achieve the best results.

Moderator: Jason Richter, Deputy Treasurer, Washington State Treasury

Panelists:

- Douglas Buchanan, Co-Head North America Infrastructure, Norton Rose Fulbright
- Eric Kim, Senior Director, Public Finance, Fitch Ratings
- Mark Pascarella, Director of Debt Management, Indiana Finance Authority



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Mind the Gap: Focusing a State's Compliance Regime on Gaps in Reporting

Historically, unclaimed-property annual compliance rates by holders are very low, especially with smaller enterprises. This session will explore ways to mind the compliance gap in order to increase UP annual reporting, from examining annual reports for errors/accuracy/completeness, to incenting compliance through voluntary submissions, to identifying traditional/historic gaps in holders' compliance filings. The presentation is designed to offer potential ways states can help drive more robust, more accurate, and better annual reporting through discussing strategies to raise awareness among the business community about the reporting obligations. The panel will also talk about the use of marketing plans, information sessions, surveys, videos, and social media.

Moderator: Treasurer Dave Young (CO)

Panelists:

- Janet Gagliano, Partner, PWC
- Jeremy Katz, Partner, Audit Services US
- Caroline Marshall, General Counsel and COO, Verus Analytics LLC
- Geoffrey Sawyer, Partner, Drinker Biddle & Reath LLP

4:00 - 5:00 PM

Communications and Public Policy Caucus Meeting (Invitation Only)

Room 550 A&B

NAST created a new caucus this past February for Public Information Officers and State/Federal Policy staff (or equivalent titles) for the purpose of information sharing between states, with NAST, and their media and policy consultants. This meeting is for Treasury (or related state office) staff as we continue to organize this working group.

Round Table Discussion: Reframing and Rebuilding the Financial Literacy Conversation

Room 552 A&B

The consequences of early financial decisions can be far-reaching, perhaps permanent, but not all decisions have negative consequences. Let's talk about how to transform the lives of a new generation and then take steps to do so. Join a panel of Treasurers, as well as the investment management's leading non-for-profit professional body, CFA Institute, as we discuss collaborative and statistically proven approaches to pursuing and achieving financial independence. At the conclusion of the session, Gene Natali will be available to sign copies of his book *The Missing Semester: Your Financial Choices have Consequences. Will you Choose Wisely?*

Moderators: Treasurer Sarah Godlewski (WI) & Treasurer Curt Meier (WY)

Panelists:

- Greg Filbeck, Professor, Finance and Risk Management, Black School of Business, Penn State University
- Gene Natali, Jr., Author, C.S. McKee, LP
- Kurt Schacht, Managing Director, CFA Institute

6:30 - 9:30 PM

Buffet Dinner at Skyline with WaterFire

Tonight, walk to the Skyline, three blocks from the hotel, and enjoy indoor and outdoor networking opportunities with spectacular views of the water and cityscape. During the event, attendees will have front-row seats to WaterFire, an award-winning art installation in the basin of the Providence River.

Experience WaterFire's bonfires, the scent of aromatic wood smoke and flickering firelight, while listening to enchanting music from around the world. You will engage all your senses and emotions as you stroll the paths of Waterplace Park. Wear comfortable shoes and bring a jacket for this evening event since the show doesn't start until sunset.



Photo Credit: Richard Cavalleri / Shutterstock.com

Skyline is a five-minute stroll from the hotel. To walk, take a left out of the Omni lobby door onto West Exchange Street. Cross Francis Street, and, once across, take a left (you will still be on Francis Street). Continue north after crossing Memorial Boulevard and stay right, hugging the IGT building (blue glass building), which will take you to Waterplace Park. The Skyline will be in front of you on the left side.

See waking map on page 3.

While we encourage you to take a stroll along the charming streets of Providence, trolley service is also provided. The first trolley will depart from the Omni hotel lobby at 6:20 PM.

Friday, May 24

7:15 - 10:00 AM

Registration

Ballroom Foyer

7:30 - 8:15 AM

Networking Breakfast

Ballroom A

7:30- 10:00 AM

Joint Session: ABLE Savings Plans & College Savings Plans (529s)

Ballroom D

End of Conference Networking Opportunity

Before you leave Rhode Island, touch base with your colleagues from across the country about ideas or topics you heard during the conference or start your own conversation about a topic of your choice. This is your last opportunity to network with your peers in Providence, take advantage of this morning to make new connections to improve your program and career.

8:30-10:30 AM

Post-Conference Workshops

Finish out your conference experience with the choice of these two-hour hands-on, interactive workshops. Select one to attend (fee included in your registration):

Blockchain Broken Down: An Evolving Conversation in the Public Sector

Ballroom E

The workshop will mirror the three-part format of a blockchain client lab: enlighten, engage, expand. The expanded format will help the participants deepen their knowledge of blockchain before we dive into hands-on drafting of business-use cases. Additionally, the added time allows our speakers to give a demonstration of blockchain in action, providing richer context and understanding around the feasibility of blockchain solutions. Materials provided include: copies of Deloitte's Global Blockchain Survey, a white paper detailing public-sector blockchain applications; the blockchain readiness framework; and the use-case evaluation framework.

Trainers:

- Tom McDivitt, Specialist Leader, Deloitte Consulting LLP
- Sean Ryan, Senior Manager, Deloitte Consulting, LLP

Committee of Legal Practices Deep Dive into the Recurring Legal Issues in Unclaimed Property Audits: Roundtable Discussion

Room 551 A&B

Some holder advocates repeatedly raise the same issues or legal arguments with the state or with the state's third-party auditor for different clients or to different states. Developing strategies for how to address these recurring issues in a clear, forceful, and efficient manner can help expedite the examination. A panel of state unclaimed-property officials and representatives of third-party auditors will discuss some of the most common issues they encounter and share some of their experiences in how best to resolve them.

Moderator: Allen Mayer, Chief of Staff, Illinois Treasury

Panelists:

- Tim Cullen, Associate General Counsel, Kelmar Associates LLC
- Caroline Marshall, General Counsel and COO, Verus Analytics LLC
- Christina Merbedone-Byrd, Assistant Director, Unclaimed Property, West Virginia State Treasurer's Office

The 3Cs of EMMA for Debt Managers: Customize, Comply, Connect with MSRB

Room 552 A&B

Free online tools can help you take your investor-relations program to the next level and stay on top of your federal disclosure filings. Bring your laptop and get ready to explore the MSRB's Electronic Municipal Market Access (EMMA®) system. You'll be guided through the process of:

- Creating a free customized investor relations homepage on the EMMA website
- Filing newly required disclosures to EMMA about your financial obligations pursuant to amended SEC Rule 15c2-12 and
- Signing up for email reminders of deadlines for recurring disclosures

Trainers:

- Ritta McLaughlin, Chief Education Officer, MSRB
- Leah Szarek, Senior Manager, Market Transparency, MSRB

Join this interactive workshop hosted by NAST’s communications consultants, Marathon Strategies. Learn best practices on how to reach out to the media, handle interviews, and post quality content on social media. During this two-hour workshop, participants will get an overview of each topic and then move from station to station for hands-on, personalized training. This workshop will be led by seasoned media professionals, formerly with the Weather Channel, NBC News, Huffington Post, and POLITICO.

Trainers:

- Nicholas Marchetti, Marathon Strategies
- Sunny Mehta, Marathon Strategies
- Nick Palladino, Marathon Strategies

Keynote Speaker Bios

Wednesday May 22



Deval Patrick

Former two-term Massachusetts Governor Deval Patrick joined Bain Capital in 2015 and is a managing director and co-managing partner of the Double Impact Business, where he focuses on investments that deliver both a competitive financial return and significant positive social impact.

Prior to joining the firm, he served as Governor of the Commonwealth of Massachusetts for eight years. Before entering public office, Governor Patrick was Executive Vice President and General Counsel of the Coca-Cola Company. He also worked at Texaco, Inc., and served on the company’s Executive Council. In 1994, he was appointed by President Clinton as Assistant Attorney General overseeing the Civil Rights Division of the US Department of Justice.

Born to and raised by a single mother on the South Side of Chicago, Mr. Patrick earned a scholarship to the Milton Academy in Massachusetts in the eighth grade. He went on to attend Harvard College and Harvard Law School, where he was president of the Harvard Legal Aid Bureau. He is the author of two books: *A Reason to Believe: Lessons from an Improbable Life* and *Faith in the Dream: A Call to the Nation to Reclaim American Values*.

Thursday, May 23



Gina Raimondo

On January 6, 2015, Gina M. Raimondo became the 75th Governor of Rhode Island and the state’s first female governor. During her time in office, Governor Raimondo has kick-started the state’s economy and created thousands of jobs. Rhode Island’s unemployment rate - the highest in the country during her first campaign for governor - is now in line with

the national average and the lowest it’s been in three decades. She’s made record investments in infrastructure, education, and job training. Under her leadership, Rhode Island became the fourth state in the country to offer tuition-free community college to every high school graduate.

Prior to being Governor, Raimondo served as the state’s general treasurer and worked to redesign Rhode Island’s public employee pension system. She has a background in venture capital and holds degrees from Harvard, Oxford, and Yale. Gina and her husband, Andy, live in Providence with their two kids, Ceci and Tommy, and their rescue dog, Sparky.

Continuing Professional Education (CPEs)

NAST understands that some of its conference attendees may want to apply for credits/hours from their state accrediting authority. We will provide a letter of participation for those interested in seeking CPEs.

Please stop by the registration desk to get the form to fill in or contact us within a week after the conference. We’ll send you a form to fill out attesting to the sessions you attended so we can generate a signed letter and get it back to you for submission. Please send requests to Kari Arfstrom at kari@statetreasurers.org.



2019 Conferences

National Institute of Public Finance

July 14-17

Pepperdine University – Malibu, CA

Annual Conference: Convening Leaders

October 6-9

The Naples Grande Beach Resort – Naples, Florida

2020 Conferences

Legislative Conference

February 9-11

Mayflower Hotel – Washington, DC

Treasury Management Training Symposium

June 9-12

Hilton Portland Downtown – Portland, OR

Annual Conference: Convening Leaders

September 13-16

Intercontinental San Diego – San Diego, CA

2021 Conferences

Legislative Conference

February 7-9

Fairmont Georgetown - Washington, DC

Treasury Management Training Symposium

May 17-20

Indianapolis Marriott Downtown - Indianapolis, IN

Annual Conference: Convening Leaders

September 19-22

Palmer House Hilton - Chicago, IL